## I. OVERVIEW OF THE FUNDING OPPORTUNITY

**Program Announcement for the Department of Defense** 

**Defense Health Program** 

**Congressionally Directed Medical Research Programs** 

## **Chronic Pain Management Research Program**

## **Investigator-Initiated Research Award**

**Announcement Type: Initial** 

Funding Opportunity Number: W81XWH-20-CPMRP-IIRA

Catalog of Federal Domestic Assistance Number: 12.420 Military Medical Research and Development

### SUBMISSION AND REVIEW DATES AND TIMES

- **Pre-Application Submission Deadline:** 5:00 p.m. Eastern time (ET), August 7, 2020
- Invitation to Submit an Application: September 18, 2020
- **Application Submission Deadline:** 11:59 p.m. ET, November 6, 2020
- End of Application Verification Period: 5:00 p.m. ET, November 11, 2020
- Peer Review: January 2021
- **Programmatic Review:** March 2021

This Program Announcement must be read in conjunction with the General Application Instructions, version 501. The General Application Instructions document is available for downloading from the Grants.gov funding opportunity announcement by selecting the "Package" tab, clicking "Preview," and then selecting "Download Instructions."

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# II. DETAILED INFORMATION ABOUT THE FUNDING OPPORTUNITY

## **II.A. Program Description**

Applications to the Fiscal Year 2020 (FY20) Chronic Pain Management Research Program (CPMRP) are being solicited for the Defense Health Agency (DHA) J9, Research and Development Directorate, by the U.S. Army Medical Research Acquisition Activity (USAMRAA) using delegated authority provided by United States Code, Title 10, Section 2358 (10 USC 2358). As directed by the Office of the Assistant Secretary of Defense for Health Affairs (OASD[HA]), the DHA manages the Defense Health Program (DHP) Research, Development, Test, and Evaluation (RDT&E) appropriation. The execution management agent for this Program Announcement is the Congressionally Directed Medical Research Programs (CDMRP). The CPMRP was initiated in 2019 to provide support for research of exceptional scientific merit with the potential to make a significant impact on improving the health and quality of life of those living with chronic pain. Appropriations for the CPMRP in FY19 totaled \$10 million (M). The FY20 appropriation is \$15M.

The proposed research must be relevant to active duty Service members, Veterans, military beneficiaries, and/or the American public.

Per the FY19 CPMRP Congressional appropriation, chronic pain is defined as pain that occurs on at least half the days for 6 months or more, and which can be caused by issues including, but not limited to, combat- and training-related physical or mental stress and trauma, migraines and chronic headaches, traumatic brain injury, arthritis, muscular-skeletal conditions, neurological disease, tick and vector-borne disease, other insect-transmitted or tropical disease, and cancer. The CPMRP encourages alignment of research projects with the Federal Pain Research Strategy for maximizing the impact of chronic pain research outcomes. Applications from investigators within the military Services and applications involving multidisciplinary collaborations among academia, industry, the military Services, the U.S. Department of Veterans Affairs (VA), and other Federal Government agencies are highly encouraged.

### II.A.1. FY20 CPMRP Focus Areas

To meet the intent of the award mechanism, applications must address one of the FY20 CPMRP Investigator-Initiated Research Award (IIRA) Focus Areas. Selection of the appropriate Focus Area is the responsibility of the applicant.

- Chronification of pain (i.e., the transition of acute pain to chronic pain)
  - o Understanding mechanisms of, and developing models for studying, the transition from acute to chronic pain following trauma either physical and/or psychological
  - o Development of mechanistically justified therapies to prevent and treat chronification

- o Identification of risk or protective factors or biomarkers for patients susceptible to chronification including relevant subpopulations
- Development of novel non-μ-opioid receptor-targeted therapies for the treatment of chronic pain
  - Novel non-opioid pharmacological solutions
  - Devices that treat chronic pain directly or those that improve the administration of nonopioid analgesics
  - o Complementary and integrative health non-pharmacological interventions

Additionally, the incorporation of the following into the proposed research approaches is encouraged but not required:

- Pain informatics
- Pragmatic approaches
- Patient expectations, preference, and goals of treatment at point of care
- Multiple ecological levels and stakeholder engagement in study designs with human participants
- Chronic pain conditions with high prevalence in military populations
- Established models of pain assessment that include pain interference in emotional and physical functioning

## II.A.2. Award History

The CPMRP IIRA mechanism was first offered in FY19. Since then, 41 IIRA applications have been received, and 5 have been recommended for funding.

### **II.B.** Award Information

The intent of the FY20 CPMRP IIRA is to support studies that have the potential to make significant advances in research, patient care, and/or quality of life in the FY20 CPMRP IIRA Focus Areas. IIRA applications may involve any phase of basic, translational, and clinically oriented research, including studies in animal models, research with human anatomical substances, and research with human subjects, as well as ancillary studies associated with an existing clinical trial; *however*, *this award may not be used to conduct clinical trials*. Multidisciplinary collaborations and innovative approaches are encouraged.

Important aspects of this award mechanism include:

- **Impact:** Applications should articulate both the short- and long-term impact of the proposed research. The proposed research project should significantly impact the understanding of one of the <a href="FY20 CPMRP IIRA Focus Areas">FY20 CPMRP IIRA Focus Areas</a> and, if successful, make important contributions toward the goals of advancing chronic pain research, patient care, and/or improving quality of life for those living with chronic pain.
- Innovation: The proposed research should be innovative. Innovative research may introduce new insights, technologies or paradigms; challenge existing paradigms; look at existing problems from new perspectives; and/or exhibit other highly creative qualities. Incremental advances upon published data or the next logical step are not considered innovative.
- **Preliminary Data:** Observations that drive a research idea may be derived from laboratory discovery, population-based studies, a clinician's first-hand knowledge of patients, or anecdotal data. Applications must include preliminary and/or published data that are relevant to the mission of the CPMRP and support the proposed research project. Any unpublished preliminary data provided should originate from the laboratory of the Principal Investigator (PI) or a member(s) of the research team.
- Multidisciplinary Collaborations: Applications are encouraged to form multidisciplinary teams of investigators who bring specific skills that contribute to the successful completion of the project. This is expected to include both intellectual input and research resources (e.g., supplies, reagents, equipment, personnel, services, tissue samples, access to patients or populations).
- Relevance to Military Health: Projects should be relevant to Service members, Veterans, and/or their family members or beneficiaries living with chronic pain. Relevance may arise by addressing high incidence rate within a population of interest, or significant debilitating effects on focused subpopulations. Collaboration with military and VA researchers and clinicians is encouraged. A list of websites that may be useful in identifying additional information about ongoing Department of Defense (DoD) and VA areas of research interest or potential opportunities for collaboration can be found in <a href="Appendix 2">Appendix 2</a>.

The types of awards made under the Program Announcement will be assistance agreements. An assistance agreement is appropriate when the Federal Government transfers a "thing of value" to a "state, local government," or "other recipient" to carry out a public purpose of support or stimulation authorized by a law of the United States instead of acquiring property or service for the direct benefit and use of the U.S. Government. An assistance agreement can take the form of a grant or cooperative agreement. The level of involvement on the part of the DoD during project performance is the key factor in determining whether to award a grant or cooperative agreement. If "no substantial involvement" on the part of the funding agency is anticipated, a grant award will be made (31 USC 6304). Conversely, if substantial involvement on the part of the funding agency is anticipated, a cooperative agreement will be made (31 USC 6305), and the award will identify the specific substantial involvement. Substantial involvement may include, but is not limited to, collaboration, participation, or intervention in the research to be performed

under the award. The award type, along with the start date, will be determined during the negotiation process.

The anticipated direct costs budgeted for the entire period of performance for an FY20 CPMRP IIRA will not exceed \$900,000. Refer to Section II.D.5, Funding Restrictions, for detailed funding information.

Awards will be made no later than September 30, 2021. For additional information refer to Section II.F.1, Federal Award Notices.

The CDMRP expects to allot approximately \$8.64M to fund approximately six Investigator-Initiated Research Award applications. Funding of applications received is contingent upon the availability of Federal funds for this program as well as the number of applications received, the quality and merit of the applications as evaluated by scientific and programmatic review, and the requirements of the Government. Funds to be obligated on any award resulting from this funding opportunity will be available for use for a limited time period based on the fiscal year of the funds. It is anticipated that awards made from this FY20 funding opportunity will be funded with FY20 funds, which will expire for use on September 30, 2026.

Research Involving Human Anatomical Substances, Human Subjects, or Human Cadavers: All DoD-funded research involving new and ongoing research with human anatomical substances, human subjects, or human cadavers must be reviewed and approved by the U.S. Army Medical Research and Development Command (USAMRDC) Office of Research Protections (ORP), Human Research Protection Office (HRPO), prior to research implementation. This administrative review requirement is in addition to the local Institutional Review Board (IRB) or Ethics Committee (EC) review. Local IRB/EC approval at the time of submission is not required. Allow a minimum of 2 to 3 months for HRPO regulatory review and approval processes. Refer to the General Application Instructions, Appendix 1, and the Human Subject Resource Document available on the electronic Biomedical Research Application Portal (eBRAP) "Funding Opportunities & Forms" web page (https://ebrap.org/eBRAP/public/Program.htm) for additional information. If the proposed research is cooperative (i.e., involving more than one institution), a written plan for single IRB review arrangements must be provided at the time of award negotiation. The lead institution responsible for developing the master protocol and master consent form should be identified and should be the single point of contact for regulatory submissions and requirements.

A clinical trial is defined as a research study in which one or more human subjects are prospectively assigned to one or more interventions (which may include placebo or other control) to evaluate the effects of the interventions on biomedical or behavioral health-related outcomes. The CPMRP FY20 IIRA cannot be used to support clinical trials.

**Use of DoD or VA Resources:** If the proposed research involves access to active duty military or Veteran patient populations and/or DoD or VA resources or databases, the application must describe the access at the time of submission and include a plan for maintaining access as needed throughout the proposed research. Refer to Section II.D.2.b.ii, Full Application Submission

<u>Components</u>, for submission requirements. Refer to the General Application Instructions, Appendix 1, Section C, for additional detailed information.

**Rigor of Experimental Design:** All projects should adhere to accepted standards for rigorous study design and reporting to maximize the reproducibility and translational potential of preclinical research. Core standards are described in Landis, S.C., et al., A call for transparent reporting to optimize the predictive value of preclinical research, *Nature* 2012, 490:187-191 (<a href="www.nature.com/nature/journal/v490/n7419/full/nature11556.html">www.nature.com/nature/journal/v490/n7419/full/nature11556.html</a>). While these standards were written for preclinical studies, the basic principles of randomization, blinding, sample-size estimation, and data handling derive from well-established best practices in research and should be applied consistently across basic and translational studies. Projects that include research on animal models are required to describe how these standards will be addressed. Refer to the application submission instructions for more information. Applicants should consult the ARRIVE (Animal Research: Reporting In Vivo Experiments) guidelines to ensure relevant aspects of rigorous animal research are adequately planned for and, ultimately, reported. The ARRIVE guidelines can be found at <a href="https://journals.plos.org/plosone/article/file?type=supplementary&id=info:doi/10.1371/journal.pone.0146533.s001">https://journal.pone.0146533.s001</a>.

**Research Involving Animals:** All DoD-funded research involving new and ongoing research with animals must be reviewed and approved by the USAMRDC ORP Animal Care and Use Review Office (ACURO), in addition to the local Institutional Animal Care and Use Committee (IACUC) of record. IACUC approval at the time of submission is *not* required. *Allow at least 3 to 4 months for ACURO regulatory review and approval processes for animal studies.* Refer to the General Application Instructions, Appendix 1, for additional information.

**Prospective Human Studies and the Federal Interagency Traumatic Brain Injury Research (FITBIR) Informatics System:** The DoD requires that awardees make traumatic brain injury (TBI) research data generated by this award mechanism available to the research community through the FITBIR Informatics System. The FITBIR Informatics System is a free resource designed to accelerate research progress by allowing the storage, re-analysis, integration, and rigorous comparison of multiple datasets. *Currently, FITBIR-eligible research includes all studies generating prospectively collected human TBI subject data (e.g., clinical, demographic, phenotypic, imaging, genomic)*. Data reporting to FITBIR is an opportunity for investigators to facilitate their own research and to collaborate with others engaged in similar research. While there is no direct charge to users of the FITBIR Informatics System, a project estimation tool (<a href="https://fitbir.nih.gov/jsp/contribute/fitbir-costs.jsp">https://fitbir.nih.gov/jsp/contribute/fitbir-costs.jsp</a>) is available to help estimate costs and manpower needs that may be associated with data submission. FITBIR guidance and policies, as well as the considerable advantages of FITBIR participation to the researcher, are detailed at <a href="https://fitbir.nih.gov/">https://fitbir.nih.gov/</a>.

## **II.C.** Eligibility Information

### **II.C.1.** Eligible Applicants

## II.C.1.a. Organization: All organizations, including international organizations, are eligible to apply.

Government Agencies Within the United States: Local, state, and Federal Government agencies are eligible to the extent that applications do not overlap with their fully funded internal programs. Such agencies are required to explain how their applications do not overlap with their internal programs.

As applications for this Program Announcement may be submitted by extramural and intramural organizations, these terms are defined below.

**Extramural Organization:** An eligible non-DoD organization. Examples of extramural organizations include academic institutions, biotechnology companies, foundations, Federal Government organization other than the DoD, and research institutes.

Intramural DoD Organization: A DoD laboratory, DoD military treatment facility, and/or DoD activity embedded within a civilian medical center. Intramural Submission: Application submitted by a DoD organization for an intramural investigator working within a DoD laboratory or military treatment facility or in a DoD activity embedded within a civilian medical center.

USAMRAA makes awards to eligible organizations, not to individuals.

### II.C.1.b. Principal Investigator

Investigators at or above the level of Assistant Professor (or equivalent) may be named by the organization as the PI on the application.

An eligible PI, regardless of ethnicity, nationality, or citizenship status, must be employed by, or affiliated with, an eligible organization.

The CDMRP encourages all PIs to participate in a digital identifier initiative through Open Researcher and Contributor ID, Inc. (ORCID). Registration for a unique ORCID identifier can be done online at <a href="https://orcid.org/">https://orcid.org/</a>.

### **II.C.2.** Cost Sharing

Cost sharing/matching is not an eligibility requirement.

### II.C.3. Other

Organizations must be able to access **.gov** and **.mil** websites in order to fulfill the financial and technical deliverable requirements of the award and submit invoices for payment.

For general information on required qualifications for award recipients, refer to the General Application Instructions, Appendix 3.

Refer to <u>Section II.H.2</u>, <u>Administrative Actions</u>, for a list of administrative actions that may be taken if a pre-application or application does not meet the administrative, eligibility, or ethical requirements defined in this Program Announcement.

## **II.D.** Application and Submission Information

Submission of applications that are essentially identical or propose essentially the same research project to different funding opportunities within the same program and fiscal year is prohibited and will result in administrative withdrawal of the duplicative application(s).

### Extramural Submission:

- Pre-application content and forms must be accessed and submitted at eBRAP.org.
- Full application packages must be accessed and submitted at Grants.gov.

### Intramural DoD Submission:

- Pre-application content and forms must be accessed and submitted at <a href="mailto:eBRAP.org">eBRAP.org</a>.
- Full application packages must be accessed and submitted at eBRAP.org.

Note: Applications from an intramural DoD organization or from an extramural Federal Government organization may be submitted to Grants.gov through a research foundation.

### **II.D.1.** Address to Request Application Package

eBRAP is a multifunctional web-based system that allows PIs to submit their pre-applications electronically through a secure connection, to view and edit the content of their pre-applications and full applications, to receive communications from the CDMRP, and to submit documentation during award negotiations and period of performance.

Contact information for the CDMRP Help Desk and the Grants.gov Contact Center can be found in Section II.G, Federal Awarding Agency Contacts.

### II.D.2. Content and Form of the Application Submission

Submission is a two-step process requiring both *pre-application* (eBRAP.org) and *full application* (eBRAP.org or Grants.gov) as indicated below. The submission process should be started early to avoid missing deadlines. There are no grace periods. Full application submission guidelines differ for extramural (Grants.gov) and intramural (eBRAP.org) organizations (refer to <u>Table 1</u>. Full Application Guidelines).

The application title, eBRAP log number, and all information for the PI, Business Official(s), performing organization, and contracting organization must be consistent throughout the

entire pre-application and full application submission process. Inconsistencies may delay application processing and limit or negate the ability to view, modify, and verify the application in eBRAP. If any changes need to be made, the applicant should contact the CDMRP Help Desk at <a href="help@eBRAP.org">help@eBRAP.org</a> or 301-682-5507 prior to the application submission deadline.

### **II.D.2.a.** Step 1: Pre-Application Submission Content

During the pre-application process, eBRAP assigns each submission a unique log number. This unique eBRAP log number is required during the full application submission process.

To begin the pre-application process, first select whether the submitting organization is extramural or intramural, then confirm your selection or cancel. **Incorrect selection of extramural or intramural submission type will delay processing.** 

If an error has been made in the selection of extramural versus intramural and the pre-application submission deadline has passed, the PI or Business Official must contact the CDMRP Help Desk at help@eBRAP.org or 301-682-5507 to request a change in designation.

All pre-application components must be submitted by the PI through eBRAP (<a href="https://eBRAP.org/">https://eBRAP.org/</a>). Because the invitation to submit an application is based on the contents of the pre-application, investigators should not change the title or research objectives after the pre-application is submitted.

The applicant organization and associated PI identified in the pre-application should be the same as those intended for the subsequent application submission. If any changes are necessary after submission of the pre-application, the applicant must contact the CDMRP Help Desk at help@eBRAP.org or 301-682-5507.

PIs with an ORCID identifier should enter that information in the appropriate field in the "My Profile" tab in the "Account Information" section of eBRAP.

The pre-application consists of the following components, which are organized in eBRAP by separate tabs (refer to the General Application Instructions, Section II.B, for additional information on pre-application submission):

### • Tab 1 – Application Information

Submission of application information includes assignment of primary and secondary research classification codes, which may be found at <a href="https://ebrap.org/eBRAP/public/">https://ebrap.org/eBRAP/public/</a>
<a href="Program.htm">Program.htm</a>. Applicants are strongly encouraged to review and confirm the codes prior to making their selection.

### • Tab 2 – Application Contacts

Enter contact information for the PI. Enter the organization's Business Official responsible for sponsored program administration (the "person to be contacted on matters involving this application" in Block 5 of the Grants.gov SF424 Research & Related Form). The Business

Official must be either selected from the eBRAP list or invited in order for the preapplication to be submitted.

Select the performing organization (site at which the PI will perform the proposed work) and the contracting organization (organization submitting on behalf of the PI, which corresponds to Block 5 on the Grants.gov SF424 Research & Related Form), and click on "Add Organizations to this Pre-application." The organization(s) must be either selected from the eBRAP drop-down list or invited in order for the pre-application to be submitted.

It is recommended that PIs identify an Alternate Submitter in the event that assistance with pre-application submission is needed.

### • Tab 3 – Collaborators and Key Personnel

Enter the name, organization, and role of all collaborators and key personnel associated with the application.

<u>FY20 CPMRP Programmatic Panel members</u> should not be involved in any pre-application or application. For questions related to panel members and pre-applications or applications, refer to <u>Section II.H.2.c</u>, <u>Withdrawal</u>, or contact the CDMRP Help Desk at <u>help@eBRAP.org</u> or 301-682-5507.

### • Tab 4 – Conflicts of Interest

List all individuals other than collaborators and key personnel who may have a conflict of interest in the review of the application (including those with whom the PI has a personal or professional relationship).

### • Tab 5 – Pre-Application Files

Note: Upload documents as individual PDF files unless otherwise noted. eBRAP will not allow a file to be uploaded if the number of pages exceeds the limit specified below.

• Preproposal Narrative (two-page limit): The Preproposal Narrative page limit applies to text and non-text elements (e.g., figures, tables, graphs, photographs, diagrams, chemical structures, drawings) used to describe the project. Inclusion of URLs that provide additional information to expand the Preproposal Narrative and could confer an unfair competitive advantage is prohibited and may result in administrative withdrawal of the pre-application.

The Preproposal Narrative should include the following:

 Background/Research Problem: State the background and scientific rationale on which the proposed research project is based. Relevant literature citations and preliminary data must be included.

- Specific Aims and Study Design: Concisely state the project's specific aims and
  describe the scientific approach. Include a description of controls, as appropriate, and
  demonstrate that the work is appropriately powered.
- Impact: Describe how the proposed research project will have an impact on one or more of the <u>FY20 CPMRP IIRA Focus Areas</u>. If successful, state how the study will make important advances in chronic pain research, patient care, and/or improve quality of life for those living with chronic pain.
- Relevance to Military Health: Describe how the proposed research project will directly or indirectly benefit military Service members, Veterans, and/or family members or beneficiaries living with chronic pain.
- Pre-Application Supporting Documentation: The items to be included as supporting documentation for the pre-application *must be uploaded as individual files* and are limited to the following:
  - References Cited (one-page limit): List the references cited (including URLs if available) in the Preproposal Narrative using a standard reference format that includes the full citation (i.e., author[s], year published, reference title, and reference source, including volume, chapter, page numbers, and publisher, as appropriate).
  - List of Abbreviations, Acronyms, and Symbols: Provide a list of abbreviations, acronyms, and symbols used in the Preproposal Narrative.
  - Key Personnel Biographical Sketches (six-page limit per individual). *All* biographical sketches should be uploaded as a single combined file. Biographical
     sketches should be used to demonstrate background and experience through
     education, positions, publications, and previous work accomplished.

### • Tab 6 – Submit Pre-Application

This tab must be completed for the pre-application to be accepted and processed.

### **Pre-Application Screening**

### • Pre-Application Screening Criteria

To determine the technical merits of the pre-application and the relevance to the mission of the DHP and the CPMRP, pre-applications will be screened based on the following criteria:

- Background/Research Problem: How well the background, scientific rationale, preliminary data, and/or relevant literature citations demonstrate sufficient evidence to support the proposed research project.
- Specific Aims and Study Design: How well the specific aims are stated and addressed
  in the outlined research project. How well the scientific approach (including controls and
  proposed power analysis) supports the evaluation of the specific aims proposed.

- **Impact:** To what degree the proposed research project will impact one or more of the <u>FY20 CPMRP IIRA Focus Areas</u>. If successful, how likely the study is to make important contributions toward the goals of advancing chronic pain research, patient care, and/or improving quality of life for those living with chronic pain.
- Relevance to Military Health: How well the proposed research project directly or indirectly benefits military Service members, Veterans, and/or family members or beneficiaries living with chronic pain.

### • Notification of Pre-Application Screening Results

Following the pre-application screening, PIs will be notified as to whether or not they are invited to submit applications; however, they will not receive feedback (e.g., a critique of strengths and weaknesses) on their pre-application. The estimated timeframe for notification of invitation to submit an application is indicated in <a href="Section I, Overview of the Funding Opportunity">Section I, Overview of the Funding Opportunity</a>. Invitations to submit a full application are based on the Pre-Application Screening Criteria listed above.

### II.D.2.b. Step 2: Full Application Submission Content

Applications will not be accepted unless notification of invitation has been received.

The CDMRP cannot make allowances/exceptions to its policies for submission problems encountered by the applicant organization using system-to-system interfaces with Grants.gov.

Each application submission must include the completed full application package for this Program Announcement. The full application package is submitted by the Authorized Organizational Representative through Grants.gov (<a href="https://www.grants.gov/">https://www.grants.gov/</a>) for extramural organizations or through eBRAP (<a href="https://ebrap.org/">https://ebrap.org/</a>) for intramural organizations. See Table 1 below for more specific guidelines.

### II.D.2.b.i. Full Application Guidelines

Extramural organizations must submit full applications through Grants.gov. Applicants must create a Grants.gov Workspace for submission, which allows the application components to be completed online and routed through the applicant organization for review prior to submission. Applicants may choose to download and save individual PDF forms rather than filling out webforms in Workspace. A compatible version of Adobe Reader **must** be used to view, complete, and submit an application package consisting of PDF forms. If more than one person is entering text into an application package, the *same version* of Adobe Reader software should be used by each person. Check the version number of the Adobe software on each user's computer to make sure the versions match. Using different versions of Adobe Reader may cause submission and/or save errors – even if each version is individually compatible with Grants.gov. Refer to the General Application Instructions, Section III, and the "Apply For Grants" page of Grants.gov (<a href="https://www.grants.gov/web/grants/applicants/apply-for-grants.html">https://www.grants.gov/web/grants/applicants/apply-for-grants.html</a>) for further information about the Grants.gov Workspace submission process. Submissions of extramural applications through eBRAP may be withdrawn.

Do not password protect any files of the application package, including the Project Narrative.

**Table 1. Full Application Submission Guidelines** 

Extramural Submissions	Intramural DoD Submissions				
Application Pa					
Download application package components for W81XWH-20-CPMRP-IIRA from Grants.gov ( <a href="https://www.grants.gov">https://www.grants.gov</a> ) and create a Grants.gov Workspace. Workspace allows online completion of the application components and routing of the application package through the applicant organization for review prior to submission.	Download application package components for W81XWH-20-CPMRP-IIRA from eBRAP (https://ebrap.org).				
Full Application Package Components					
SF424 Research & Related Application for Federal Assistance Form: Refer to the General Application Instructions, Section III.A.1, for detailed information.	<ul> <li>Tab 1 – Summary: Provide a summary of the application information.</li> <li>Tab 2 – Application Contacts: This tab will be pre-populated by eBRAP; add Authorized Organizational Representative.</li> </ul>				
Descriptions of each required file can be found under Full Application Submission Components:  • Attachments • Research & Related Personal Data • Research & Related Senior/Key Person Profile (Expanded) • Research & Related Budget • Project/Performance Site Location(s) Form • Research & Related Subaward Budget Attachment(s) Form	Tab 3 – Full Application Files: Upload files under each Application Component in eBRAP.  Descriptions of each required file can be found under Full Application Submission Components:  • Attachments • Key Personnel • Budget • Performance Sites  Tab 4 – Application and Budget Data: Review and edit proposed project start date, proposed end date, and budget data pre-populated from the Budget Form.				

### **Extramural Submissions**

### **Intramural DoD Submissions**

## **Application Package Submission**

### Create a Grants.gov Workspace.

Add participants (investigators and Business Officials) to Workspace, complete all required forms, and check for errors before submission.

### Submit a Grants.gov Workspace Package.

An application may be submitted through Workspace by clicking the "Sign and Submit" button on the "Manage Workspace" page, under the "Forms" tab. Grants.gov recommends submission of the application package at least 24-48 hours prior to the close date to allow time to correct any potential technical issues that may disrupt the application submission.

**Note:** If either the Project Narrative or the budget fails eBRAP validation or if the Project Narrative or the budget needs to be modified, an updated Grants.gov application package must be submitted via Grants.gov as a "Changed/Corrected Application" with the previous Grants.gov Tracking ID *prior to* the application submission deadline. *Do not password protect any files of the application package, including the Project Narrative.* 

Submit package components to eBRAP (https://ebrap.org).

Tab 5 – Submit/Request Approval Full Application: After all components are uploaded and prior to the full application submission deadline, enter your password in the space provided next to "Enter Your Password Here" and press the "Submit Full Application" button. eBRAP will notify your Resource Manager/Comptroller/Task Area Manager or equivalent Business Official by email. Do not password protect any files of the application package, including the Project Narrative.

### **Application Verification Period**

The full application package submitted to Grants.gov may be viewed and modified in eBRAP until the end of the application verification period. During the application verification period, the full application package may be modified with the exception of the Project Narrative and Research & Related Budget Form.

After eBRAP has processed the full application, the organizational Resource Manager/Comptroller/Task Area Manager or equivalent Business Official and PI will receive email notification of this status and will be able to view and modify application components in eBRAP. During the application verification period, the full application package may be modified with the exception of the Project Narrative and Research & Related Budget Form. Your Resource Manager/Comptroller/Task Area Manager or equivalent Business Official should log into eBRAP to review and to approve prior to the application verification deadline.

Extramural Submissions	Intramural DoD Submissions			
Further Information				
Tracking a Grants.gov Workspace Package. After successfully submitting a Workspace package, a Grants.gov Tracking Number is automatically assigned to the package. The number will be listed on the "Confirmation" page that is generated after submission.	Refer to the General Application Instructions, Section IV, for further information regarding eBRAP requirements.			
Refer to the General Application Instructions, Section III, for further information regarding Grants.gov requirements.				

The full application package must be submitted using the unique eBRAP log number to avoid delays in application processing.

### II.D.2.b.ii. Full Application Submission Components

Extramural Applications Only

**SF424 Research & Related Application for Federal Assistance Form:** Refer to the General Application Instructions, Section III.A.1, for detailed information.

• Extramural and Intramural Applications

### **Attachments:**

Each attachment to the full application components must be uploaded as an individual file in the format specified and in accordance with the formatting guidelines listed in the General Application Instructions, Appendix 4.

For all attachments, ensure that the file names are consistent with the guidance. Attachments will be rejected if the file names are longer than 50 characters or have incorrect file names that contain characters other than the following: A-Z, a-z, 0-9, underscore, hyphen, space, and period. In addition, there are file size limits that may apply in some circumstances. Individual attachments may not exceed 20 MB, and the file size for the entire full application package may not exceed 200 MB. It is important to include the attachment name as a header on each page of the attachment files.

• Attachment 1: Project Narrative (12-page limit): Upload as "ProjectNarrative.pdf". The page limit of the Project Narrative applies to text and non-text elements (e.g., figures, tables, graphs, photographs, diagrams, chemical structures, drawings) used to describe the project. Inclusion of URLs that provide additional information to expand the Project Narrative and could confer an unfair competitive advantage is prohibited and may result in administrative withdrawal of the application. Describe the proposed project in detail using the outline below.

- Background/Rationale: Present the ideas and reasoning behind the proposed research project, and clearly demonstrate that there is sufficient scientific evidence to support the proposed stage of research, including preliminary, published, and/or unpublished data. Cite relevant literature.
- Objective or Hypothesis: State the objective(s) to be reached or hypothesis(es) to be tested.
- **FY20 CPMRP IIRA Focus Area:** State the <u>FY20 CPMRP IIRA Focus Area(s)</u> to be addressed by the proposed research.
- Specific Aims: Concisely explain the project's specific aims. The aims should agree with the primary aims and associated tasks described in the Statement of Work (SOW) (<u>Attachment 5</u>). If the proposed research project is part of a larger study, present only tasks that this CPMRP award would fund.
- Research Strategy and Feasibility: Describe the experimental design, methods, and analyses, including appropriate controls, in sufficient detail for analysis of its appropriateness and feasibility. Describe the statistical plan including power analysis, as appropriate, for the proposed research. Address potential problem areas and pitfalls, and present alternative methods and approaches. If proposing a corollary study, specify how the proposed project complements the existing research efforts and provides additional relevant insight beyond the initial study design. If applicable, briefly describe the relevance of the chosen animal model to human chronic pain research; full details will be required in the Animal Research Plan (Attachment 10). If human subjects, anatomical samples, or data will be used, include a detailed plan for the recruitment of subjects or the acquisition of samples or data. Describe how data will be reported. If the research will support therapeutic development, describe how the data will be appropriately reported and documented to support a regulatory filing with the U.S. Food and Drug Administration (FDA). *This award cannot be used to conduct clinical trials*.
- Attachment 2: Supporting Documentation: Combine and upload as a single file named "Support.pdf". Start each document on a new page. If documents are scanned to PDF, the lowest resolution (100 to 150 dpi) should be used. The Supporting Documentation attachment should not include additional information such as figures, tables, graphs, photographs, diagrams, chemical structures, or drawings. These items should be included in the Project Narrative.

There are no page limits for any of these components unless otherwise noted. Include only those components described below; inclusion of items not requested or viewed as an extension of the Project Narrative will result in the removal of those items or may result in administrative withdrawal of the application.

- References Cited: List the references cited (including URLs, if available) in the Project Narrative using a standard reference format that includes the full citation (i.e.,

- author[s], year published, title of reference, source of reference, volume, chapter, page numbers, and publisher, as appropriate).
- List of Abbreviations, Acronyms, and Symbols: Provide a list of abbreviations, acronyms, and symbols.
- Facilities, Existing Equipment, and Other Resources: Describe the facilities and equipment available for performance of the proposed project and any additional facilities or equipment proposed for acquisition at no cost to the award. Indicate whether or not Government-furnished facilities or equipment are proposed for use. If so, reference should be made to the original or present Government award under which the facilities or equipment items are now accountable. There is no form for this information.
- Publications and/or Patents: Include a list of relevant publication URLs and/or patent abstracts. If articles are not publicly available, then copies of up to five published manuscripts may be included in Attachment 2. Extra items will not be reviewed.
- Letters of Organizational Support: Provide a letter (or letters, if applicable) signed by the Department Chair or appropriate organization official, confirming the laboratory space, equipment, and other resources available for the project. Letters of support not requested in the Program Announcement, such as those from members of Congress, do not impact application review or funding decisions.
- Letters of Collaboration (if applicable): Provide a signed letter from each collaborating individual or organization that will demonstrate that the PI has the support or resources necessary for the proposed work. If an investigator at an intramural organization is named as a collaborator on an application submitted through an extramural organization, the application must include a letter from the collaborator's Commander or Commanding Officer at the intramural organization that authorizes the collaborator's involvement.
- Intellectual Property: Information can be found in Code of Federal Regulations, Title 2, Part 200.315 (2 CFR 200.315), "Intangible Property."
  - Intellectual and Material Property Plan (if applicable): Provide a plan for resolving intellectual and material property issues among participating organizations.
  - Commercialization Strategy (if applicable): Describe the commercialization plan. The plan should include intellectual property, market size, financial analysis, strengths and weaknesses, barriers to the market, competitors, and management team. Discuss the significance of this development effort, when it can be anticipated, and the potential commercial use for the technology being developed.
- Data and Research Resources Sharing Plan: Describe how data and resources generated during the performance of the project will be shared with the research community. If relevant, PIs may be required to report research data to the FITBIR

- informatics system (<a href="http://fitbir.nih.gov/">http://fitbir.nih.gov/</a>). Refer to the General Application Instructions, Appendix 2, Section K, for more information about the CDMRP expectations for making data and research resources publicly available.
- Use of DoD Resources (if applicable): Provide a letter of support signed by the lowest-ranking person with approval authority confirming access to active duty military populations and/or DoD resources or databases.
- Use of VA Resources (if applicable): Provide a letter of support from the VA Facility Director(s) or individual designated by the VA Facility Director(s), such as the Associate Chief of Staff for Research and Development (ACOS/R&D) or Clinical Service Chief, confirming access to VA patients, resources, and/or VA research space. For VA PIs, if the VA non-profit corporation is not identified as the applicant institution for administering the funds, include a letter from the VA ACOS/R&D confirming this arrangement and identifying the institution that will administer the funds associated with the proposed research.
- Attachment 3: Technical Abstract (one-page limit): Upload as "TechAbs.pdf". The technical abstract is used by all reviewers. Abstracts of all funded research projects will be posted publicly. Do not include proprietary or confidential information. Use only characters available on a standard QWERTY keyboard. Spell out all Greek letters, other non-English letters, and symbols. Graphics are not allowed.

Technical abstracts should be written using the outline below. The technical abstract should provide an appropriate description of the project's key aspects; clarity and completeness within the space limits of the technical abstract are highly important.

- Background/Rationale: Present the ideas and reasoning behind the proposed research project, including sufficient scientific evidence to support the proposed stage of research.
- Objective/Hypothesis: State the objective(s) to be reached or the hypothesis(es) to be tested.
- **Specific Aims:** State the specific aims of the proposed research project.
- **Study Design:** Briefly describe the experimental design, including appropriate controls.
- **Impact:** Briefly describe how the proposed research project will impact the addressed <u>FY20 CPMRP IIRA Focus Area(s)</u> and, if successful, will make important contributions toward the goals of advancing chronic pain research, patient care, and/or improving quality of life for those living with chronic pain.
- Relevance to Military Health: Briefly describe the relevance of the proposed research project to military Service members, Veterans, and/or family members or beneficiaries living with chronic pain.

Attachment 4: Lay Abstract (one-page limit): Upload as "LayAbs.pdf". The lay abstract is used by all reviewers. Abstracts of all funded research projects will be posted publicly. Do not include proprietary or confidential information. Use only characters available on a standard QWERTY keyboard. Spell out all Greek letters, other non-English letters, and symbols. Graphics are not allowed.

Lay abstracts should be written using the outline below. Minimize use of acronyms and abbreviations, where appropriate. The lay abstract is an important component of the application review process because it addresses issues of particular interest to the consumer community. *Do not duplicate the technical abstract.* 

- State the <u>FY20 CPMRP IIRA Focus Area(s)</u> to be addressed by the proposed research.
- Describe the objectives and rationale for the proposed research in a manner that will be readily understood by readers without a background in science or medicine.
  - Describe the ultimate applicability of the research.
  - What persons with chronic pain will it help, and how will it help them?
  - What are the potential clinical applications, benefits, and risks?
  - What is the projected time it may take to achieve a person-related outcome?
- If the research is too basic for immediate clinical applicability, then describe the interim outcomes.
- What are the likely contributions of the proposed research project to advancing the field of chronic pain research, patient care, and/or quality of life for those managing chronic pain?
- Attachment 5: Statement of Work (three-page limit): Upload as "SOW.pdf". The suggested SOW format and examples specific to different types of research projects are available on the eBRAP "Funding Opportunities & Forms" web page (<a href="https://ebrap.org/eBRAP/public/Program.htm">https://ebrap.org/eBRAP/public/Program.htm</a>). For the FY20 CPMRP IIRA mechanism, use the SOW format example titled, "Recommended Strategies for Assembling a Generic Research SOW." The SOW must be in PDF format prior to attaching.

The SOW should include a list of major tasks that support the proposed specific aims, followed by a series of subtasks outlined related to the major tasks and milestones within the period of performance. The SOW should describe only the work for which funding is being requested by this application and, as applicable, should also:

 Include the name(s) of the key personnel and contact information for each study site/ subaward site.

- Indicate the number (and type, if applicable) of research subjects (animal or human) and/or human anatomical samples projected or required for each task and at each site.
   Refer to the General Application Instructions, Appendix 1, for additional information regarding regulatory requirements.
- For studies with prospective accrual of human subjects, indicate quarterly enrollment targets.
- Identify cell line(s) and commercial or organizational source(s) to be used.
- If applicable, indicate timelines required for regulatory approvals relevant to human subjects research (e.g., Investigational New Drug and Investigational Device Exemption applications) by the FDA or other Government agency.
- For FITBIR, eligible (human prospective TBI studies) research should include:
  - FITBIR investigator and study registration within the first 30 days of the award
  - Sharing of draft data collection forms with FITBIR
  - Annual FITBIR data submissions
- O Attachment 6: Impact Statement (one-page limit): Upload as "Impact.pdf".

  Describe how the proposed research project will impact our understanding of the addressed FY20 CPMRP IIRA Focus Area(s) and, if successful, will make important contributions toward the goals of advancing chronic pain research, patient care, and/or improving quality of life. Describe the short- and long-term impact of this study on the field of chronic pain research, patient care, and/or quality of life, including an assessment of the likelihood that a successful outcome of the proposed research project will lead to a practical application for individuals living with chronic pain.
- O Attachment 7: Innovation Statement (one-page limit): Upload as "Innovation.pdf". Describe how the proposed research is innovative. For example, state how the research will provide new insights, examine a new paradigm, challenge existing paradigms, look at existing problems from new perspectives, or introduce new technologies and/or applications with the potential to impact the field of chronic pain management. Describe how the proposed research represents more than an incremental advance upon published data or more than the next logical step in a research project.
- O Attachment 8: Research Team Statement (one-page limit): Upload as "Team.pdf". Discuss the qualifications of the research team and each individual's specific contributions to the project, including how the appropriate experience is incorporated to address the research question and enable the success of the proposed project. Clearly state if key personnel are not receiving salary from the award. If applicable, provide assurances/letters of commitment that the unpaid personnel will contribute the required level of effort to complete the project. Describe the PI's record of accomplishment and their ability to lead the research team to accomplish the proposed research project. Describe previous experience most pertinent to this project.

- Attachment 9: Relevance to Military Health Statement (one-page limit): Upload as "Military.pdf". Demonstrate how the proposed research project is applicable to the healthcare needs and quality of life of military Service members, Veterans, and/or family members or beneficiaries living with chronic pain. If active duty military, Veteran, or military family member population(s) will be used in the proposed research project, describe the population(s), the appropriateness of the population(s) for the proposed research, and the feasibility of using the population. If a non-military population will be used for the proposed research project, explain how the population simulates the targeted population.
- Attachment 10: Animal Research Plan (if applicable; three-page limit): Upload as "AnimalResPlan.pdf". When the proposed study involves animals, a summary describing the animal research that will be conducted must be included in the application. The Animal Research Plan may not be an exact replica of the protocol(s) submitted to the IACUC. The Animal Research Plan should address the following points for each proposed animal study:
  - Briefly describe the research objective(s) of the animal study. Explain how and why the animal species, strain, and model(s) being used can address the scientific objectives and, where appropriate, how well the animal model reproduces the human disease. Be specific as to why the animal chronic pain model was chosen over other models and how it is optimal for addressing the study aims and is relevant to human chronic pain.
  - Summarize the procedures to be conducted. Describe how the study will be controlled.
  - Describe the randomization and blinding procedures for the study, and any other measures to be taken to minimize the effects of subjective bias during animal treatment and assessment of results. If randomization and/or blinding will not be utilized, provide justification.
  - Provide a sample size estimate for each study arm and the method by which it was derived, including power analysis calculations.
  - Describe how data will be handled, including rules for stopping data collection, criteria for inclusion and exclusion of data, how outliers will be defined and handled, statistical methods for data analysis, and identification of the primary endpoint(s).
- Attachment 11: Representations, if applicable (extramural submissions only): Upload as "RequiredReps.pdf". All extramural applicants must complete and submit the Required Representations template available on eBRAP (<a href="https://ebrap.org/eBRAP/public/Program.htm">https://ebrap.org/eBRAP/public/Program.htm</a>). For more information, see the General Application Instructions, Appendix 5, Section B, Representations.
- Attachment 12: Suggested Collaborating DoD Military Facility Budget Format, if applicable: Upload as "MFBudget.pdf". If a military facility (Military Health System facility, research laboratory, medical treatment facility, dental treatment facility, or a

DoD activity embedded with a civilian medical center) will be a collaborator in performance of the project, complete a separate budget, using "Suggested Collaborating DoD Military Facility Budget Format", available for download on the eBRAP "Funding Opportunities & Forms" web page <a href="https://ebrap.org/eBRAP/public/Program.htm">https://ebrap.org/eBRAP/public/Program.htm</a>), including a budget justification, for each military facility as instructed. The costs per year should be included on the Grants.gov Research & Related Budget Form under subaward costs. Refer to the General Application Instructions, Section III.A.8, for detailed information.

### • Extramural and Intramural Applications

To evaluate compliance with Title IX of the Education Amendments of 1972 (20 USC A§1681 et seq.), the DoD is collecting certain demographic and career information to be able to assess the success rates of women who are proposed for key roles in applications in science, technology, engineering, and/or mathematics (STEM) disciplines. To enable this assessment, each application must include the following forms completed as indicated.

**Research & Related Personal Data:** For extramural submissions (via Grants.gov), refer to the General Application Instructions, Section III.A.3, and for intramural submissions (via eBRAP), refer to the General Application Instructions, Section IV.A.2, for detailed information.

**Research & Related Senior/Key Person Profile (Expanded):** For extramural submissions (via Grants.gov), refer to the General Application Instructions, Section III.A.4, and for intramural submissions (via eBRAP), refer to the General Application Instructions, Section IV.A.3, for detailed information.

- o PI Biographical Sketch (six-page limit): Upload as "Biosketch\_LastName.pdf". The suggested biographical sketch format is available on the "Funding Opportunities & Forms" web page (<a href="https://ebrap.org/eBRAP/public/Program.htm">https://ebrap.org/eBRAP/public/Program.htm</a>) in eBRAP. The National Institutes of Health Biographical Sketch may also be used. All biographical sketches should be submitted in uneditable PDF format.
- PI Previous/Current/Pending Support (no page limit): Upload as "Support\_LastName.pdf".
- Key Personnel Biographical Sketches (six-page limit each): Upload as "Biosketch\_LastName.pdf".
- Key Personnel Previous/Current/Pending Support (no page limit): Upload as "Support\_LastName.pdf".

**Research & Related Budget:** For extramural submissions (via Grants.gov), refer to the General Application Instructions, Section III.A.5, and for intramural submissions (via eBRAP), refer to the General Application Instructions, Section IV.A.4, for detailed information.

**Budget Justification (no page limit): Upload as "BudgetJustification.pdf".** The budget justification for the entire period of performance must be uploaded to the Research & Related Budget after completion of the budget for Period 1.

**Project/Performance Site Location(s) Form:** For extramural submissions (via Grants.gov), refer to the General Application Instructions, Section III.A.6, and for intramural submissions (via eBRAP), refer to the General Application Instructions, Section IV.A.5, for detailed information.

### • Extramural Applications Only

**Research & Related Subaward Budget Attachment(s) Form (if applicable):** Refer to the General Application Instructions, Section III.A.7, for detailed information.

- Extramural Subaward: Complete the Research & Related Subaward Budget Form through Grants.gov. (Refer to the General Application Instructions, Section III.A.7, for detailed information.) Verify subaward budget(s) and budget justification forms are present in eBRAP during the application verification period. If these components are missing, upload them to eBRAP before the end of the application verification period.
- o Intramural DoD Collaborator(s): Complete the "Suggested Collaborating DoD Military Facility Budget Format" and upload to Grants.gov attachment form as <a href="Attachment 12">Attachment 12</a>. (Refer to the General Application Instructions, Section IV.A.4, for detailed information.) Each Intramural DoD Collaborator should include costs per year on the Grants.gov Research & Related Budget Form under subaward costs.

## II.D.3. Dun and Bradstreet Data Universal Numbering System (DUNS) Number and System for Award Management (SAM)

Applicant organizations and all sub-recipient organizations must have a DUNS number to submit applications to Grants.gov. The applicant organization must also be registered in the Entity Management functional area of the SAM with an "Active" status to submit applications through the Grants.gov portal. Verify the status of the applicant organization's Entity registration in SAM well in advance of the application submission deadline. Allow several weeks to complete the entire SAM registration process. If an applicant has not fully complied with the requirements at the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Refer to the General Application Instructions, Section III, for further information regarding Grants.gov requirements.

Announcement of Transition to SAM-Generated Unique Entity Identifier (UEI): Through December 2020, a transition from DUNS to the SAM-generated UEI will occur. Refer to the General Application Instructions, Section III.1, DUNS Number, for more information on the transition and timing.

### **II.D.4. Submission Dates and Times**

All submission dates and times are indicated in <u>Section I, Overview of the Funding Opportunity</u>. Pre-application and application submissions are required. The pre-application and application submission process should be started early to avoid missing deadlines. There are no grace periods. Failure to meet either of these deadlines will result in submission rejection.

### **Applicant Verification of Full Application Submission in eBRAP**

For Both Extramural and Intramural Applicants: eBRAP allows an organization's representatives and PIs to view and modify the full application submissions associated with them. Following retrieval and processing of the full application, eBRAP will notify the organizational representatives and PI by email to log into eBRAP to review, modify, and verify the full application submission. eBRAP will validate full application files against the specific Program Announcement requirements, and discrepancies will be noted in an email to the PI and in the "Full Application Files" tab in eBRAP. eBRAP does not confirm the accuracy of file content. Application viewing, modification, and verification in eBRAP are strongly recommended, but not required. It is the applicant's responsibility to review all application components and ensure proper ordering as specified in the Program Announcement. *If either* the Project Narrative or the budget fails eBRAP validation or needs to be modified, an updated full application package must be submitted prior to the application submission deadline. The Project Narrative and Research & Related Budget Form cannot be changed after the application submission deadline. Other application components may be changed until the end of the application verification period. Verify that subaward budget(s) and budget justification forms are present in eBRAP during the application verification period. If these components are missing, upload them to eBRAP before the end of the application verification period. After the end of the application verification period, the full application cannot be modified.

*Extramural Submission:* The full application package submitted to Grants.gov may be viewed and modified in eBRAP until the end of the application verification period. During the application verification period, the full application package, *with the exception of the Project Narrative and Budget Form*, may be modified.

Intramural DoD Submission: After eBRAP has processed the full application, the organizational Resource Manager/Comptroller/Task Area Manager or equivalent Business Official and PI will receive email notification of the status and will be able to view and modify application components in eBRAP. During the application verification period, the full application package, with the exception of the Project Narrative and Budget Form, may be modified. The Resource Manager/Comptroller/Task Area Manager or equivalent Business Official should log into eBRAP to review and to approve the application package prior to the application verification deadline.

*For All Submissions:* Verify that subaward budget(s) with budget justification are present in eBRAP during the application verification period. If these components are missing, upload them to eBRAP before the end of the application verification period.

### **II.D.5.** Funding Restrictions

The maximum period of performance is **4** years.

The anticipated direct costs budgeted for the entire period of performance will not exceed \$900,000. If indirect cost rates have been negotiated, indirect costs are to be budgeted in accordance with the organization's negotiated rate. No budget will be approved by the Government exceeding \$900,000 direct costs or using an indirect cost rate exceeding the organization's negotiated rate.

All direct and indirect costs of any subaward or contract must be included in the total direct costs of the primary award.

The applicant may request the entire maximum funding amount for a project that may have a period of performance less than the maximum 4 years.

For this award mechanism, direct costs may be requested for (not all inclusive):

- Travel in support of multidisciplinary collaborations.
- Costs for one investigator to travel to one scientific/technical meeting per year to present project information or disseminate project results from the FY20 CPMRP IIRA.
- Costs for the PI to travel to two DoD-sponsored meetings (e.g., the Military Health System Research Symposium) during the lifetime of the award. For budget purposes, it is suggested that these costs be included in year 2 of the award. This is in addition to the annual scientific/technical meeting described above.

Must not be requested for:

Clinical trial costs

For extramural awards with an intragovernmental component, direct transfer of funds from an extramural award recipient to a DoD or other Federal agency is not allowed except under very limited circumstances. Funding to intramural DoD and other Federal agencies will be managed through a direct funds transfer. Intramural applicants are responsible for coordinating through their agency's procedures the use of contractual or assistance funding awards or other appropriate agreements to support extramural collaborators.

Refer to the General Application Instructions, Section III.A.5, for budget regulations and instructions for the Research & Related Budget. For Federal agencies or organizations collaborating with Federal agencies, budget restrictions apply as are noted in the General Application Instructions, Section III.A.5.

### **II.D.6.** Other Submission Requirements

Refer to the General Application Instructions, Appendix 4, for detailed formatting guidelines.

## **II.E.** Application Review Information

### II.E.1. Criteria

### II.E.1.a. Peer Review

To determine technical merit, all applications will be evaluated according to the following **scored criteria**, which are listed in order of decreasing importance:

### • Research Strategy and Feasibility

- How clearly the ideas and reasoning behind the proposed research project demonstrate sufficient scientific evidence, including preliminary data, to support moving into the proposed stage of research.
- How well-developed, and how feasible, the objectives or hypothesis, specific aims, experimental design, methods, and analyses are.
- How well statistical analysis plans, including power analysis, as appropriate, have been described to obtain meaningful results from the proposed research.
- How thoroughly the application acknowledges potential problems or pitfalls and addresses alternative approaches.
- If applicable, how well the proposed corollary study complements an existing research effort and to what degree it will provide additional relevant insight beyond the initial study design.
- o If applicable, how well-designed each animal study is to achieve the objectives, including the endpoints to be used, *and how well the selected animal model reproduces human disease*.
- o If applicable, how well-established the human subject recruitment, data, or sample acquisition plans are to achieve the study objectives.
- If applicable, whether data will be appropriately reported and documented to support a regulatory filing with the FDA.

### Impact

- o To what extent the proposed research addresses an FY20 CPMRP IIRA Focus Area(s).
- o To what extent the proposed research, if successful, will make important contributions in the short term and/or long term toward the goals of advancing chronic pain research, patient care, and/or improving quality of life for those living with chronic pain.

#### Innovation

- To what extent the proposed research will provide new insights, examine a new paradigm, challenges existing paradigms, look at existing problems from new perspectives, or introduce new technologies and/or applications with the potential to impact the field of chronic pain management.
- o To what extent the proposed research represents more than an incremental advance upon published data or more than the next logical step in a research project.

### • Research Team

- How qualified the research team is to conduct the proposed research including how well each member's experience is incorporated into the project to address the research question and ensure success. To what extent the background and experience of the PI and key personnel are appropriate to accomplish the proposed research project.
- To what extent the levels of effort by the PI and key personnel are appropriate to ensure the success of this project.
- How well the PI's record of accomplishments demonstrates their ability to lead the research team to accomplish the proposed research project.

In addition, the following **unscored** criteria will also contribute to the overall evaluation of the application:

### • Environment

- o To what degree the scientific environment is appropriate for the proposed research.
- o To what degree the quality and extent of organizational support are appropriate.
- o If applicable, to what degree the intellectual and material property plan is appropriate

### Budget

- Whether the **direct** costs exceed the allowable direct costs as published in the Program Announcement.
- Whether the budget is appropriate for the proposed research.

### • Application Presentation

To what extent the writing, clarity, and presentation of the application components influence the review.

### **II.E.1.b.** Programmatic Review

To make funding recommendations and select the application(s) that, individually or collectively, will best achieve the program objectives, the following criteria are used by programmatic reviewers:

- Ratings and evaluations of the peer reviewers
- Relevance to the mission of the DHP and FY20 CPMRP, as evidenced by the following:
  - Adherence to the intent of the award mechanism
  - o Program portfolio composition
  - Relative impact
  - o Relevance to military health

### **II.E.2.** Application Review and Selection Process

All applications are evaluated by scientists, clinicians, and consumers in a two-tier review process. The first tier is **peer review**, the evaluation of applications against established criteria to determine technical merit, where each application is assessed for its own merit, independent of other applications. The second tier is **programmatic review**, a comparison-based process in which applications with high scientific and technical merit are further evaluated for programmatic relevance. Final recommendations for funding are made to the Commanding General, USAMRDC, on behalf of the DHA and the OASD(HA). *The highest-scoring applications from the first tier of review are not automatically recommended for funding. Funding recommendations depend on various factors as described in Section II.E.1.b., Programmatic Review.* Additional information about the two-tier process used by the CDMRP can be found at <a href="https://cdmrp.army.mil/about/2tierRevProcess">https://cdmrp.army.mil/about/2tierRevProcess</a>. An information paper describing the funding recommendations and review process for the award mechanisms for the CPMRP will be provided to the PI and posted on the CDMRP website.

All CDMRP review processes are conducted confidentially to maintain the integrity of the merit-based selection process. Panel members sign a statement declaring that application and evaluation information will not be disclosed outside the panel. Violations of confidentiality can result in the dissolving of a panel(s) and other corrective actions. In addition, personnel at the applicant or collaborating organizations are prohibited from contacting persons involved in the review and approval process to gain protected evaluation information or to influence the evaluation process. Violations of these prohibitions will result in the administrative withdrawal of the organization's application. Violations by panel members or applicants that compromise the confidentiality of the review and approval process may also result in suspension or debarment from Federal awards. Furthermore, the unauthorized disclosure of confidential information of one party to another third party is a crime in accordance with 18 USC 1905.

### **II.E.3.** Integrity and Performance Information

Prior to making an assistance agreement award where the Federal share is expected to exceed the simplified acquisition threshold, as defined in 2 CFR 200.88, over the period of performance, the Federal awarding agency is required to review and consider any information about the applicant that is available in the Federal Awardee Performance and Integrity Information System (FAPIIS).

An applicant organization may review FAPIIS, accessible through SAM, and submit comments to FAPIIS on any information about the organization that a Federal awarding agency previously entered and is currently available in FAPIIS.

The Federal awarding agency will consider any comments by the applicant, in addition to other information in the designated integrity and performance system, in making a judgment about the applicant's integrity, business ethics, and record of performance under Federal awards when determining a recipient's qualification prior to award, according to the qualification standards of the Department of Defense Grant and Agreement Regulations (DoDGARs), Section 22.415.

### **II.E.4.** Anticipated Announcement and Federal Award Dates

All application review dates and times are indicated in <u>Section I, Overview of the Funding Opportunity</u>.

Each PI and organization will receive email notification of posting of the funding recommendation in eBRAP. Each PI will receive a peer review summary statement on the strengths and weaknesses of the application.

### II.F. Federal Award Administration Information

### **II.F.1. Federal Award Notices**

Awards supported with FY20 funds are anticipated to be made no later than September 30, 2021. Refer to the General Application Instructions, Appendix 2, for additional award administration information.

After email notification of application review results through eBRAP, and if selected for funding, a representative from USAMRAA will contact the Business Official authorized to negotiate on behalf of the PI's organization.

**Pre-Award Costs:** An institution of higher education, hospital, or other non-profit organization may, at its own risk and without the Government's prior approval, incur obligations and expenditures to cover costs up to 90 days before the beginning date of the initial budget period of a new award. Refer to the General Application Instructions, Section III.B.

Only an appointed USAMRAA Grants Officer may obligate the Government to the expenditure of funds. No commitment on the part of the Government should be inferred from

discussions with any other individual. The award document signed by the Grants Officer is the official authorizing document.

**Federal Government Organizations:** Funding made to Federal Government organizations (to include intramural DoD organizations) will be executed through the Military Interdepartmental Purchase Request (MIPR) or Funding Authorization Document (FAD) process. Transfer of funds is contingent upon appropriate safety and administrative approvals. Intramural applicants and collaborators are reminded to coordinate receipt and commitment of funds through their respective Resource Manager/Task Area Manager/Comptroller or equivalent Business Official.

### **II.F.1.a.** PI Changes and Award Transfers

An organizational transfer of an award will not be allowed in the last year of the (original) period of performance or any extension thereof.

Refer to the General Application Instructions, Appendix 2, Section B, for general information on organization or PI changes.

### **II.F.2.** Administrative and National Policy Requirements

Applicable requirements in the DoDGARs found in 32 CFR, Chapter I, Subchapter C, and 2 CFR, Chapter XI, apply to grants and cooperative agreements resulting from this Program Announcement.

Refer to the General Application Instructions, Appendix 2, for general information regarding administrative requirements.

Refer to the General Application Instructions, Appendix 5, for general information regarding national policy requirements.

Refer to full text of the latest <u>DoD R&D General Terms and Conditions</u>; the <u>General Research Terms and Conditions with Institutions of Higher Education, Hospitals, and Non-Profit Organizations: Addendum to the DoD R&D General Terms and Conditions</u>; and the <u>USAMRAA General Research Terms and Conditions with For-Profit Organizations</u> for further information.

### II.F.3. Reporting

Refer to the General Application Instructions, Appendix 2, Section A, for general information on reporting requirements. If there are technical reporting requirement delinquencies for any existing USAMRAA-sponsored awards at the applicant organization, no new awards will be issued to the applicant organization until all delinquent reports have been submitted.

Annual progress reports with quad charts as well as a final progress report will be required. The Award Terms and Conditions will specify if more frequent reporting is required.

Award Expiration Transition Plan: An Award Expiration Transition Plan must be submitted with the final progress report. Use the one-page template "Award Expiration Transition Plan," available on the eBRAP "Funding Opportunities & Forms" web page (https://ebrap.org/

<u>eBRAP/public/Program.htm</u>) under the "Progress Report Formats" section. The Award Expiration Transition Plan must outline if and how the research supported by this award will progress and must include source(s) of funding, either known or pending.

Awards resulting from this Program Announcement will incorporate additional reporting requirements related to recipient integrity and performance matters. Recipient organizations that have Federal contract, grant, and cooperative agreement awards with a cumulative total value greater than \$10,000,000 are required to provide information to FAPIIS about certain civil, criminal, and administrative proceedings that reached final disposition within the most recent 5-year period and that were connected with performance of a Federal award. Recipients are required to disclose, semiannually, information about criminal, civil, and administrative proceedings as specified in the applicable Representations (see General Application Instructions, Appendix 5, Section B).

## **II.G. Federal Awarding Agency Contacts**

### **II.G.1. CDMRP Help Desk**

Questions related to Program Announcement content or submission requirements as well as questions related to the pre-application or intramural application submission through eBRAP should be directed to the CDMRP Help Desk, which is available Monday through Friday from 8:00 a.m. to 5:00 p.m. ET. Response times may vary depending upon the volume of inquiries.

Phone: 301-682-5507

Email: help@eBRAP.org

### **II.G.2.** Grants.gov Contact Center

Questions related to extramural application submission through Grants.gov portal should be directed to the Grants.gov Contact Center, which is available 24 hours a day, 7 days a week (closed on U.S. Federal holidays). Note that the CDMRP Help Desk is unable to provide technical assistance with Grants.gov submission.

Phone: 800-518-4726; International 1-606-545-5035

Email: <a href="mailto:support@grants.gov">support@grants.gov</a>

Sign up on Grants.gov for "send me change notification emails" by following the link on the "Synopsis" page for the Program Announcement or by responding to the prompt provided by Grants.gov when first downloading the Grants.gov application package. If the Grants.gov application package is updated or changed, the original version of the application package may not be accepted by Grants.gov.

### **II.H.** Other Information

### **II.H.1. Program Announcement and General Application Instructions Versions**

Questions related to this Program Announcement should refer to the Program name, the Program Announcement name, and the Program Announcement version code 501e. The Program Announcement numeric version code will match the General Application Instructions version code 501.

### II.H.2. Administrative Actions

After receipt of pre-applications or applications, the following administrative actions may occur:

### II.H.2.a. Rejection

The following will result in administrative rejection of the pre-application:

- Preproposal Narrative exceeds page limit.
- Preproposal Narrative is missing.

The following will result in administrative rejection of the application:

- Submission of an application for which a letter of invitation was not received.
- Project Narrative exceeds page limit.
- Project Narrative is missing.
- Budget is missing.

### II.H.2.b. Modification

- Pages exceeding the specific limits will be removed prior to review for all documents other than the Preproposal Narrative and Project Narrative.
- Documents not requested will be removed.

### II.H.2.c. Withdrawal

The following may result in administrative withdrawal of the pre-application or application:

- An FY20 CPMRP Programmatic Panel member is named as being involved in the research proposed or is found to have assisted in the pre-application or application processes including, but not limited to, concept design, application development, budget preparation, and the development of any supporting documentation. A list of the FY20 CPMRP Programmatic Panel members can be found at <a href="https://cdmrp.army.mil/cpmrp/panels/panels20">https://cdmrp.army.mil/cpmrp/panels/panels20</a>.
- The application fails to conform to this Program Announcement description.

- Inclusion of URLs, with the exception of links in References Cited and Publication and/or Patent Abstract sections.
- Page size is larger than 8.5 inches x 11.0 inches (approximately 21.59 cm x 27.94 cm).
- To preserve the integrity of its peer and programmatic review processes, the CDMRP discourages inclusion of any employee of its review contractors having any role in the preparation, research or other duties for submitted applications. For FY20, the identities of the peer review contractor and the programmatic review contractor may be found at the CDMRP website (<a href="https://cdmrp.army.mil/about/2tierRevProcess">https://cdmrp.army.mil/about/2tierRevProcess</a>). Applications that include names of personnel from either of these companies may be administratively withdrawn.
- Personnel from applicant or collaborating organizations are found to have contacted persons involved in the review or approval process to gain protected evaluation information or to influence the evaluation process.
- Applications from extramural organizations, including non-DoD Federal agencies, received through eBRAP may be withdrawn.
- Applications submitted by an intramural DoD organization may be withdrawn if the intramural organization cannot coordinate the use of contractual, assistance, or other appropriate agreements to provide funds to extramural collaborators.
- Submission of the same research project to different funding opportunities within the same program and fiscal year.
- The invited application proposes a different research project than that described in the preapplication.
- The invited application does not address an FY20 CPMRP IIRA Focus Area.
- A clinical trial is proposed.

### II.H.2.d. Withhold

Applications that appear to involve research misconduct will be administratively withheld from further consideration pending organizational investigation. The organization will be required to provide the findings of the investigation to the USAMRAA Grants Officer for a determination of the final disposition of the application.

## II.H.3. Application Submission Checklist

<b>Application Components</b>	Action	Completed
SF424 Research & Related Application for Federal Assistance (extramural submissions only)	Complete form as instructed	
Summary (Tab 1) and Application Contacts (Tab 2) (intramural submissions only)	Complete tabs as instructed	
Attachments	Project Narrative: Upload as Attachment 1 with file name "ProjectNarrative.pdf" Supporting Documentation: Upload as Attachment 2 with file name "Support.pdf" Technical Abstract: Upload as Attachment 3 with file name "TechAbs.pdf" Lay Abstract: Upload as Attachment 4 with file name "LayAbs.pdf" Statement of Work: Upload as Attachment 5 with file name "SOW.pdf" Impact Statement: Upload as Attachment 6 with file name "Impact.pdf" Innovation Statement: Upload as Attachment 7 with file name "Innovation.pdf" Research Team Statement: Upload as Attachment 8 with file name "Team.pdf" Relevance to Military Health Statement: Upload as Attachment 9 with file name "Military.pdf" Animal Research Plan: Upload as Attachment 10 with file name "AnimalResPlan.pdf" if applicable Representations (extramural submissions only): Upload as Attachment 11 with file name "RequiredReps.pdf" if applicable Suggested Collaborating DoD Military Facility Budget Format: Upload as Attachment 12 with file name (MED at 10 Military India)	
Research & Related Personal Data	"MFBudget.pdf" if applicable  Complete form as instructed	

<b>Application Components</b>	Action	Completed
	Attach PI Biographical Sketch (Biosketch_LastName.pdf) to the appropriate field	
Research & Related Senior/Key Person Profile (Expanded)	Attach PI Previous/Current/Pending Support (Support_LastName.pdf) to the appropriate field	
	Attach Biographical Sketch (Biosketch_LastName.pdf) for each senior/key person to the appropriate field	
	Attach Previous/Current/Pending (Support_LastName.pdf) for each senior/key person to the appropriate field	
Research & Related Budget (extramural submissions only)	Complete as instructed. Attach Budget Justification (BudgetJustification.pdf) to the appropriate field	
Budget (intramural submissions only)	Suggested DoD Military Budget Format, including justification	
Project/Performance Site Location(s) Form	Complete form as instructed	
Research & Related Subaward Budget Attachment(s) Form, if applicable	Complete form as instructed	

### APPENDIX 1: ACRONYM LIST

ACOS/R&D Associate Chief of Staff for Research and Development

ACURO Animal Care and Use Review Office

ARRIVE Animal Research: Reporting In Vivo Experiments
CDMRP Congressionally Directed Medical Research Programs

CFR Code of Federal Regulations

CPMRP Chronic Pain Management Research Program

DHA Defense Health Agency
DHP Defense Health Program
DoD Department of Defense

DoDGARs Department of Defense Grant and Agreement Regulations

DUNS Data Universal Numbering System

eBRAP Electronic Biomedical Research Application Portal

EC Ethics Committee
ET Eastern Time

FAD Funding Authorization Document

FAPIIS Federal Awardee Performance and Integrity Information System

FDA U.S. Food and Drug Administration

FITBIR Federal Interagency Traumatic Brain Injury Research

FY Fiscal Year

HRPO Human Research Protection Office

IACUC Institutional Animal Care and Use Committee

IIRA Investigator-Initiated Research Award

IRB Institutional Review Board

M Million

MIPR Military Interdepartmental Purchase Request

OASD(HA) Office of the Assistant Secretary of Defense for Health Affairs

ORCID Open Researcher and Contributor ID, Inc.

ORP Office of Research Protections

PI Principal Investigator

RDT&E Research, Development, Test, and Evaluation

SAM System for Award Management

SOW Statement of Work

STEM Science, Technology, Engineering, and/or Mathematics

TBI Traumatic Brain Injury
UEI Unique Entity Identifier
URL Uniform Resource Locator

USAMRAA U.S. Army Medical Research Acquisition Activity

USAMRDC U.S. Army Medical Research and Development Command

USC United States Code

VA Department of Veterans Affairs

### **APPENDIX 2: DOD AND VA WEBSITES**

PIs are encouraged to integrate and/or align their research projects with DoD and/or VA research laboratories and programs. Collaboration with DoD or VA investigators is also encouraged. Below is a list of websites that may be useful in identifying additional information about DoD and VA areas of research interest, ongoing research, or potential opportunities for collaboration.

Air Force Office of Scientific Research <a href="https://www.wpafb.af.mil/afrl/afosr/">https://www.wpafb.af.mil/afrl/afosr/</a>

Air Force Research Laboratory <a href="https://www.wpafb.af.mil/afrl">https://www.wpafb.af.mil/afrl</a>

Armed Forces Radiobiology Research Institute https://www.usuhs.edu/afrri/

Clinical and Rehabilitative Medicine

Research Program

https://crmrp.amedd.army.mil

Combat Casualty Care Research Program

https://ccc.amedd.army.mil

Congressionally Directed Medical

Research Programs https://cdmrp.army.mil

Defense Advanced Research Projects Agency

https://www.darpa.mil/

Defense Technical Information Center

https://www.dtic.mil

Defense Threat Reduction Agency

https://www.dtra.mil/

Military Health System Research Symposium <a href="https://mhsrs.amedd.army.mil/SitePages/">https://mhsrs.amedd.army.mil/SitePages/</a> Home.aspx

Military Infectious Diseases Research Program <a href="https://midrp.amedd.army.mil">https://midrp.amedd.army.mil</a>

Military Operational Medicine Research Program

https://momrp.amedd.army.mil

Naval Health Research Center <a href="https://www.navy.mil/local/nhrc/">https://www.navy.mil/local/nhrc/</a>

Navy and Marine Corps Public Health Center <a href="https://www.med.navy.mil/sites/nmcphc/">https://www.med.navy.mil/sites/nmcphc/</a>

Pages/Home.aspx

Office of Naval Research https://www.med.navy.mil/

Office of the Under Secretary of Defense for Acquisition, Technology and Logistics https://www.acq.osd.mil/

Telemedicine and Advanced Technology Research Center

https://www.tatrc.org/

Uniformed Services University of the Health Sciences

https://www.usuhs.edu/research

U.S. Army Institute of Surgical Research

 $\underline{https:/\!/usaisr.amedd.army.mil}$ 

U.S. Army Medical Materiel Development Activity

https://www.usammda.army.mil/

U.S. Army Medical Research and

Development Command

https://mrdc.amedd.army.mil/

U.S. Army Medical Research Institute of Infectious Diseases

https://www.usamriid.army.mil/

U.S. Army Research Institute of

**Environmental Medicine** 

https://www.usariem.army.mil/

U.S. Army Research Laboratory https://www.arl.army.mil

U.S. Department of Defense Blast Injury Research Program

https://blastinjuryresearch.amedd.army.mil/

U.S. Department of Veterans Affairs, Office of Research and Development

 $\underline{https://www.research.va.gov}$ 

U.S. Naval Research Laboratory

https://www.nrl.navy.mil

Walter Reed Army Institute of Research https://www.wrair.army.mil