# I. OVERVIEW OF THE FUNDING OPPORTUNITY

**Program Announcement for the Department of Defense** 

**Defense Health Program** 

**Congressionally Directed Medical Research Programs** 

# Melanoma Research Program

# **Translational Research Award**

**Announcement Type: Initial** 

### Funding Opportunity Number: W81XWH-19-MRP-TRA

### Catalog of Federal Domestic Assistance Number: 12.420 Military Medical Research and Development

### SUBMISSION AND REVIEW DATES AND TIMES

- Pre-Application Submission Deadline: 5:00 p.m. Eastern time (ET), July 26, 2019
- Invitation to Submit an Application: August 23, 2019
- Application Submission Deadline: 11:59 p.m. ET, October 23, 2019
- End of Application Verification Period: 5:00 p.m. ET, October 30, 2019
- Peer Review: January 2020
- **Programmatic Review:** March 2020

This Program Announcement must be read in conjunction with the General Application Instructions, version 20190218. The General Application Instructions document is available for downloading from the Grants.gov funding opportunity announcement by selecting the "Package" tab, clicking "Preview," and then selecting "Download Instructions."

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# **II. DETAILED INFORMATION ABOUT THE FUNDING OPPORTUNITY**

# **II.A.** Program Description

Applications to the Fiscal Year 2019 (FY19) Melanoma Research Program (MRP) are being solicited for the Defense Health Agency (DHA) J9, Research and Development Directorate, by the U.S. Army Medical Research Acquisition Activity (USAMRAA) using delegated authority provided by United States Code, Title 10, Section 2358 (10 USC 2358). As directed by the Office of the Assistant Secretary of Defense for Health Affairs (OASD[HA]), the DHA manages the Defense Health Program (DHP) Research, Development, Test, and Evaluation (RDT&E) appropriation. The execution management agent for this Program Announcement is the Congressionally Directed Medical Research Programs (CDMRP). The MRP was initiated in 2019 to provide support for research of exceptional scientific merit in the field of melanoma. The FY19 appropriation is \$10 million (M).

The goal of the MRP is to prevent melanoma initiation and progression. The mission of the MRP is to support earlier intervention to enhance mission readiness for U.S. military personnel and to diminish the disease burden on Service members, Veterans, and the American public.

### II.A.1. FY19 MRP Focus Areas

**FY19 MRP Challenge Statement:** The MRP challenges the research community to *redefine* the concept of prevention. Melanomagenesis is a multi-step process initiating from normal melanocytes to dysplasia through the development of melanoma and metastasis. A new paradigm of prevention may include stopping the initiation of dysplasia, halting the progress to malignancy, or blocking micro-metastases. The MRP acknowledges that each step along the disease process from initiation to metastasis is an opportunity to impede any further cancer progress and to effect a cure. The MRP challenges the research community to prevent melanoma earlier in the disease cycle thus preventing metastasis. The melanoma clinical, research, and patient community traditionally view prevention as the use of sunscreen/blockers to protect the melanocyte from harmful ultraviolet (UV) radiation. The MRP recognizes the usefulness of this strategy while tasking the research community *to redefine prevention to include the entire melanomagenesis process*. For more information on the MRP Challenge Statement, review https://cdmrp.army.mil/mrp/pdfs/Challenge%20statement.pdf. The FY19 MRP Focus Areas promote the role of prevention throughout the disease process.

To be considered for funding, applications for the FY19 MRP Translational Research Award *must* address at least one of the FY19 MRP Focus Areas:

- **Precursor Lesions, Melanomagenesis, Host Factors and the Tumor Microenvironment** (e.g., melanoma instigators, ultraviolet [UV] exposure, other instigators)
- Melanoma Primary Tumor Evolution (e.g., dormancy, heterogeneity, metabolism, epigenetic dysregulation, cell death)

- Therapeutic Prevention (e.g. interruption of disease progression, recurrence)
- Minimal Residual Disease (e.g. chemoprevention, micro-metastasis)
- **Rare Melanomas** (e.g., uveal, acral, leptomeningeal disease, pediatric, adolescent and young adult [AYA], mucosal)

# The MRP strongly encourages the use of Department of Defense (DoD)/Department of Veterans Affairs (VA) databases and participation by DoD/VA investigators.

### **II.B.** Award Information

The FY19 MRP Translational Research Award supports hypothesis-driven, translational, *high-impact* research. The Translational Research Award mechanism encourages applications with mature research projects that specifically focus on critical scientific or clinical melanoma issues, which, if successfully addressed, have the potential to make a major impact. Important factors under consideration will be continuity of research, clinical applicability, and leveraging of clinical samples from clinical trials and/or biorepositories. The Translational Research Award supports identifying scientific outcomes, through rigorous, robust research, that are translatable toward treatment and/or preventive strategies. Research proposed should aim to accelerate promising findings toward clinical applicability and leverage research results to maximize impact. Proposed studies should focus on the commonalities in melanoma research to advance the understanding of the field The Translational Research Award is *not* intended to study research into established late-stage disease models, clinical utility of PD-1 in combination with other therapeutics, or established cell lines.

The MRP is not requesting research into established macrometastatic disease, models of metastatic disease using established cell lines, or treatment of macrometastatic disease. Studies involving non-melanoma skin cancers are not allowed under the FY19 MRP.

#### The critical components of this award mechanism are:

- **Translation:** The Translational Research Award is intended to support research that demonstrates the potential to have a major impact on an area of paramount importance in melanoma. The proposed study should demonstrate how the research will be translated to improved patient care in at least one of the FY19 MRP Focus Areas and has potential near-term outcomes. The research should make a significant shift toward clinical applicability in at least one of the FY19 MRP Focus Areas. Proposed projects should include translational research. *Clinical trials are not allowed.* The applicant must articulate the potential translational impact the proposed project will have on melanoma research and/or patient care. Translational research will, if successful, accelerate the movement of promising ideas into clinical applications. The Translational Research Award is intended to support established projects that have moved beyond the realm of basic research and have the potential to result in a near-term impact in clinical research or the clinic.
- **Preliminary Data:** The Translational Research Award is intended to support translational investigations that leapfrog the melanoma research field forward by utilizing previous

research findings. The Translational Research Award is not intended for basic research to generate preliminary data. Applications *must include preliminary data* to support feasibility of the study. Any unpublished, preliminary data provided should originate from the laboratory of the Principal Investigator (PI) or a member(s) of the research team.

• **Correlative Research:** Studies funded by the Translational Research Award should leverage clinical samples from established biobanks, biorepositories, and/or ongoing or completed clinical trials. If samples from a biobank or biorepository will be used, then a letter from the manager or lead investigator in charge of the samples must be submitted (Attachment 7). If samples from an ongoing/completed clinical trial will be used, then a letter of collaboration from the lead investigator of the clinical trial must be submitted (Attachment 7). *Funding for clinical trials is not allowed under the FY19 MRP Translational Research Award*.

### FY19 MRP encourages collaborations with military/VA investigators.

A Congressionally mandated Metastatic Cancer Task Force was formed with the purpose of identifying ways to help accelerate clinical and translational research aimed at extending the lives of advanced state and recurrent patients. As a member of the Metastatic Cancer Task Force, CDMRP encourages applicants to review the recommendations (https://health.mil/Reference-Center/Congressional-Testimonies/2018/05/03/Metastatic-Cancer-Research) and submit research ideas to address these recommendations provided they are within the limitations of this funding opportunity and fit within the FY19 MRP priorities.

The proposed research must be relevant to active duty Service members, Veterans, military beneficiaries, and/or the American public.

The anticipated direct costs budgeted for the entire period of performance for an FY19 MRP Translational Research Award will not exceed **\$600,000**. Refer to <u>Section II.D.5, Funding Restrictions</u>, for detailed funding information.

The CDMRP expects to allot approximately \$1.92M to fund approximately 2 Translational Research Award applications. Funding of applications received is contingent upon the availability of Federal funds for this program as well as the number of applications received, the quality and merit of the applications as evaluated by scientific and programmatic review, and the requirements of the Government. Funds to be obligated on any award resulting from this funding opportunity will be available for use for a limited time period based on the fiscal year of the funds. It is anticipated that awards made from this FY19 funding opportunity will be funded with FY19 funds, which will expire for use on September 30, 2025.

Awards will be made no later than September 30, 2020. For additional information refer to <u>Section II.F.1, Federal Award Notices.</u>

The types of awards made under the Program Announcement will be assistance agreements (grants or cooperative agreements). The level of involvement on the part of the DoD during project performance is the key factor in determining whether to award a grant or cooperative agreement.

An assistance agreement (grant or cooperative agreement) is appropriate when the Federal Government transfers a "thing of value" to a "state, local government," or "other recipient" to carry out a public purpose of support or stimulation authorized by a law of the United States, instead of acquiring property or service for the direct benefit and use of the U.S. Government. An assistance agreement can take the form of a grant or cooperative agreement. If "no substantial involvement" on the part of the funding agency is anticipated, a grant award will be made (31 USC 6304). Conversely, if substantial involvement on the part of the funding agency is anticipated, a cooperative agreement will be made (31 USC 6305) and the award will identify the specific substantial involvement. Substantial involvement may include collaboration, participation, or intervention in the research to be performed under the award. The award type, along with the start date, will be determined during the negotiation process.

### Research Involving Human Anatomical Substances, Human Subjects, or Human

Cadavers: All DoD-funded research involving new and ongoing research with human anatomical substances, human subjects, or human cadavers must be reviewed and approved by the U.S. Army Medical Research and Materiel Command (USAMRMC) Office of Research Protections (ORP), Human Research Protection Office (HRPO), prior to research implementation. This administrative review requirement is in addition to the local Institutional Review Board (IRB) or Ethics Committee (EC) review. Local IRB/EC approval at the time of submission is not required. The HRPO is mandated to comply with specific laws and requirements governing all research involving human anatomical substances, human subjects, or human cadavers that is supported by the DoD. These laws and requirements will necessitate information in addition to that supplied to the IRB/EC. Allow a minimum of 2 to 3 months for HRPO regulatory review and approval processes. Additional time for regulatory reviews may be needed for clinical studies taking place in international settings. When possible, protocols should be written for research with human subjects and/or human anatomical substances that are specific to the DoD-supported effort outlined in the submitted application as a stand-alone study. Submission to HRPO of protocols involving more than the scope of work in the DoD-funded award will require HRPO review of the entire protocol (DoD and non-DoD funded). DoD human subjects protection requirements may be applied to non-DoD funded work and necessitate extensive revisions to the protocol. Applications that involve recruitment of human subjects must indicate the quarterly enrollment targets across all sites in Attachment 5: Statement of Work (SOW). Successful applicants will work with USAMRAA to establish milestones for human subjects recruitment. Continued support for the project will be based upon satisfactory progress in meeting the established milestones. Refer to the General Application Instructions, Appendix 1, and the Human Subject Resource Document available on the electronic Biomedical Research Application Portal (eBRAP) "Funding Opportunities & Forms" web page (https://ebrap.org/eBRAP/public/Program.htm) for additional information.

### Clinical trials are not allowed.

*New FY19 definition*: A clinical trial is a research study in which one or more human subjects are prospectively assigned to one or more interventions (which may include placebo or other control) to evaluate the effects of the interventions on biomedical or behavioral health-related outcomes.

**Use of DoD or VA Resources:** If the proposed research involves access to active duty military patient populations and/or DoD resources or databases, the application must describe the access at the time of submission and include a plan for maintaining access as needed throughout the proposed research. Access to target active duty military patient population(s) and/or DoD resource(s) or database(s) should be confirmed by including a letter of support, signed by the lowest-ranking person with approval authority.

If the proposed research involves access to VA patient populations, VA study resources and databases, and/or VA research space and equipment, VA PIs/co-PIs must have a plan for obtaining and maintaining access throughout the proposed research. Access to VA patients, resources, and/or VA research space should be confirmed by including a letter of support from the VA Facility Director(s) or individual designated by the VA Facility Director(s), such as the Associate Chief of Staff for Research and Development (ACOS/R&D) or Clinical Service Chief. If appropriate, the application should identify the VA-affiliated non-profit corporation (NPC) as the applicant institution for VA PIs. If the VA NPC is not identified as the applicant institution for administering the funds, the application should include a letter from the VA ACOS/R&D confirming this arrangement and identifying the institution that will administer the funds associated with the proposed research.

Access to certain DoD or VA patient populations, resources, or databases may only be obtained by collaboration with a DoD or VA investigator who has a substantial role in the research and may not be available to a non-DoD or non-VA investigator if the resource is restricted to DoD or VA personnel. Investigators should be aware of which resources are available to them if the proposed research involves a non-DoD or non-VA investigator collaborating with the DoD and/or VA. If access cannot be confirmed at the time of application submission, the Government reserves the right to withdraw or revoke funding until the PI has demonstrated support for and access to the relevant population(s) and/or resource(s). Refer to <u>Section II.D.2.b.ii, Full</u> <u>Application Submission Components</u>, for detailed information.

**Research Involving Animals:** All DoD-funded research involving new and ongoing research with animals must be reviewed and approved by the USAMRMC ORP Animal Care and Use Review Office (ACURO), in addition to the local Institutional Animal Care and Use Committee (IACUC) of record. IACUC approval at the time of submission is *not* required. Specific documents relating to the use of animals in the proposed research will be requested **if the application is selected for funding**. The ACURO must review and approve all animal use prior to the start of working with animals, including amendments to ongoing projects. PIs must submit the institutional animal use protocol, IACUC approval of that protocol, and a version of the animal use appendix titled "Research Involving Animals." *Allow at least 2 to 3 months for ACURO regulatory review and approval processes for animal studies*. Refer to the General Application Instructions, Appendix 1, for additional information.

**Collaborative and Integrative Biology Data and Project Support Platform**: SysBioCube (<u>https://sysbiocube-abcc.ncifcrf.gov/</u>) is the USAMRMC biomedical research data access, sharing, management, and analysis platform. Its operation is directed by the USAMRMC Systems Biology Collaboration Center (SBCC). The SysBioCube is developed and hosted at Frederick National Laboratory for Cancer Research sponsored by the National Cancer Institute/National Institutes of Health (NIH). The SysBioCube is a central web portal for data

harmonization, integration, and mining. The features and tools within the SysBioCube help ensure the integrity of project data for longevity, as well as offer project management support, particularly for collaborative, multi-site studies. Overall, the system is designed to enhance research projects being conducted by the military-supported biomedical research community, both intra- and extramurally. Interested researchers should inquire at <u>sysbiocube@mail.nih.gov</u>. Use of the SysBioCube must be called out in the research application, as there is a fee associated with its use.

The CDMRP intends that information, data, and research resources generated under awards funded by this Program Announcement be made available to the research community (which includes both scientific and consumer advocacy communities) and to the public at large. For additional guidance, refer to the General Application Instructions, Appendix 2, Section K.

### **II.C. Eligibility Information**

### **II.C.1.** Eligible Applicants

**II.C.1.a.** Organization: All organizations, including international organizations, are eligible to apply.

**Government Agencies Within the United States:** Local, state, and Federal Government agencies are eligible to the extent that applications do not overlap with their fully funded internal programs. Such agencies are required to explain how their applications do not overlap with their internal programs.

As applications for this Program Announcement may be submitted by extramural and intramural organizations, these terms are defined below.

**Extramural Organization:** An eligible non-DoD organization. Examples of extramural organizations include academic institutions, biotechnology companies, foundations, other Federal Government organization other than the DoD, and research institutes.

**Intramural DoD Organization:** A DoD laboratory, DoD military treatment facility, and/or DoD activity embedded within a civilian medical center.

*Note:* Applications from an intramural DoD organization or from an extramural Federal Government organization may be submitted to Grants.gov through a research foundation.

The USAMRAA makes awards to eligible organizations, not to individuals.

### **II.C.1.b.** Principal Investigator

Independent investigators at or above the level of Assistant Professor (or equivalent) are eligible to be named as the PI on the application.

An eligible PI, regardless of ethnicity, nationality, or citizenship status, must be employed by, or affiliated with, an eligible organization.

The CDMRP encourages all PIs to participate in a digital identifier initiative through Open Researcher and Contributor ID, Inc. (ORCID). Registration for a unique ORCID identifier can be done online at <u>https://orcid.org/</u>.

### **II.C.2.** Cost Sharing

Cost sharing/matching is not an eligibility requirement.

### II.C.3. Other

Organizations must be able to access **.gov** and **.mil** websites in order to fulfill the financial and technical deliverable requirements of the award and submit invoices for payment.

For general information on required qualifications for award recipients, refer to the General Application Instructions, Appendix 3.

Refer to <u>Section II.H.2</u>, <u>Administrative Actions</u>, for a list of administrative actions that may be taken if a pre-application or application does not meet the administrative, eligibility, or ethical requirements defined in this Program Announcement.

### **II.D.** Application and Submission Information

Submission of applications that are essentially identical or propose essentially the same research project to different funding opportunities within the same program and fiscal year is prohibited and will result in administrative withdrawal of the duplicative application(s).

*Extramural Submission:* An application submitted by an organization to Grants.gov.

Intramural DoD Submission: An application submitted by a DoD organization to eBRAP.

### **II.D.1.** Address to Request Application Package

eBRAP is a multifunctional web-based system that allows PIs to submit their pre-applications electronically through a secure connection, to view and edit the content of their pre-applications and full applications, to receive communications from the CDMRP, and to submit documentation during award negotiations and period of performance.

### Extramural Submissions:

- Pre-application content and forms must be accessed and submitted at <u>eBRAP.org</u>.
- Full application packages must be accessed and submitted at Grants.gov.

### Intramural DoD Submissions:

- Pre-application content and forms must be accessed and submitted at <u>eBRAP.org</u>.
- Full application packages must be accessed and submitted at <u>eBRAP.org</u>.

Contact information for the CDMRP Help Desk and the Grants.gov Contact Center can be found in <u>Section II.G, Federal Awarding Agency Contacts</u>.

### **II.D.2.** Content and Form of the Application Submission

Submission is a two-step process requiring both *pre-application* and *full application* as indicated below. The submission process should be started early to avoid missing deadlines. There are no grace periods.

**Pre-Application Submission:** All pre-applications for both extramural and intramural organizations must be submitted through eBRAP (<u>https://eBRAP.org/</u>).

**Full Application Submission:** Full applications must be submitted through the online portals as described below.

*Extramural Organization Submissions:* Full applications from extramural organizations must be submitted through Grants.gov Workspace. Applications submitted by extramural organizations (e.g., research foundations) on behalf of intramural DoD or other Federal organizations or investigators will be considered extramural submissions. Applications from extramural organizations, including non-DoD Federal organizations, received through eBRAP will be withdrawn. See definitions in <u>Section II.C.1, Eligible Applicants</u>.

*Intramural DoD Organization Submissions:* Intramural DoD organizations may submit full applications to either eBRAP or Grants.gov. Intramural DoD organizations that are unable to submit to Grants.gov should submit through eBRAP. Intramural DoD organizations with the capability to submit through Grants.gov may submit following the instructions for extramural submissions through Grants.gov or may submit to eBRAP.

*For Both Extramural and Intramural Applicants:* eBRAP allows an organization's representatives and PIs to view and modify the full application submissions associated with them. eBRAP will validate full application files against the specific Program Announcement requirements, and discrepancies will be noted in an email to the PI and in the "Full Application Files" tab in eBRAP. It is the applicant's responsibility to review all application components for accuracy as well as ensure proper ordering as specified in this Program Announcement.

The application title, eBRAP log number, and all information for the PI, Business Official(s), performing organization, and contracting organization must be consistent throughout the entire pre-application and full application submission process. Inconsistencies may delay application processing and limit or negate the ability to view, modify, and verify the application in eBRAP. If any changes need to be made, the applicant should contact the CDMRP Help Desk at help@eBRAP.org or 301-682-5507 prior to the application submission deadline.

### II.D.2.a. Step 1: Pre-Application Submission Content

During the pre-application process, eBRAP assigns each submission a unique log number. This unique eBRAP log number is required during the full application submission process. To begin the pre-application process, first select whether the submitting organization is extramural or intramural, then confirm your selection or cancel. **Incorrect selection of extramural or intramural submission type will delay processing.** 

If an error has been made in the selection of extramural versus intramural and the pre-application submission deadline has passed, the PI or Business Official must contact the CDMRP Help Desk at <u>help@eBRAP.org</u> or 301-682-5507 to request a change in designation.

All pre-application components must be submitted by the PI through eBRAP (<u>https://eBRAP.org/</u>). Because the invitation to submit an application is based on the contents of the pre-application, investigators should not change the title or research objectives after the pre-application is submitted.

The applicant organization and associated PI identified in the pre-application should be the same as those intended for the subsequent application submission. If any changes are necessary after submission of the pre-application, the PI must contact the CDMRP Help Desk at help@eBRAP.org or 301-682-5507.

A change in PI or organization after submission of the pre-application may be allowed after review of a submitted written appeal (contact the CDMRP Help Desk at <u>help@eBRAP.org</u> or 301-682-5507) and at the discretion of the USAMRAA Grants Officer.

PIs with an ORCID identifier should enter that information in the appropriate field in the "My Profile" tab in the "Account Information" section of eBRAP.

The pre-application consists of the following components, which are organized in eBRAP by separate tabs (refer to the General Application Instructions, Section II.B, for additional information on pre-application submission):

### • Tab 1 – Application Information

Submission of application information includes assignment of primary and secondary research classification codes, which may be found at <u>https://ebrap.org/eBRAP/public/</u><u>Program.htm</u>. Note that the codes have recently been revised. Applicants are strongly encouraged to review and confirm the codes prior to making their selection.

### • Tab 2 – Application Contacts

Enter contact information for the PI. Enter the organization's Business Official responsible for sponsored program administration (the "person to be contacted on matters involving this application" in Block 5 of the Grants.gov SF424 Research & Related Form). The Business Official must be either selected from the eBRAP list or invited in order for the pre-application to be submitted.

Select the performing organization (site at which the PI will perform the proposed work) and the contracting organization (organization submitting on behalf of the PI, which corresponds to Block 5 on the Grants.gov SF424 Research & Related Form), and click on "Add

Organizations to this Pre-application." The organization(s) must be either selected from the eBRAP drop-down list or invited in order for the pre-application to be submitted.

It is recommended that PIs identify an Alternate Submitter in the event that assistance with pre-application submission is needed.

### • Tab 3 – Collaborators and Key Personnel

Enter the name, organization, and role of all collaborators and key personnel associated with the application.

FY19 MRP Programmatic Panel members should not be involved in any pre-application or application. For questions related to panel members and pre-applications or applications, refer to <u>Section II.H.2.c, Withdrawal</u>, or contact the CDMRP Help Desk at <u>help@eBRAP.org</u> or 301-682-5507.

### • Tab 4 – Conflicts of Interest (COIs)

List all individuals other than collaborators and key personnel who may have a COI in the review of the application (including those with whom the PI has a personal or professional relationship).

### • Tab 5 – Pre-Application Files

# Note: Upload documents as individual PDF files unless otherwise noted. eBRAP will not allow a file to be uploaded if the number of pages exceeds the limit specified below.

• **Preproposal Narrative (two-page limit):** The Preproposal Narrative page limit applies to text and non-text elements (e.g., figures, tables, graphs, photographs, diagrams, chemical structures, drawings) used to describe the project. Inclusion of URLs that provide additional information to expand the Preproposal Narrative and could confer an unfair competitive advantage is prohibited and may result in administrative withdrawal of the pre-application.

The Preproposal Narrative should include the following:

- State the project's hypothesis, objectives, rationale, and specific aims. Describe the methodology and experimental design.
- Demonstrate how the research is based on strong preliminary data and/or previous clinical and/or translational research outcomes. Show how the research focuses on the commonalities in melanoma research to advance the understanding of the field. Describe the *potential near-term impact* of the proposed research
- Describe how the project will leverage information from biobanks, biorepositories, ongoing or closed clinical trials, or other clinical data to address knowledge gaps in clinical observations.

- Identify the FY19 MRP Focus Area(s) in <u>Section II.A.1</u> to be studied. Explain how the proposed research will lead to promising outcomes for one or more of the selected FY19 MRP Focus Area(s) and responds to the FY19 MRP Challenge Statement.
- **Pre-Application Supporting Documentation:** The items to be included as supporting documentation for the pre-application *must be uploaded as individual files* and are limited to the following:
  - References Cited (one-page limit): List the references cited (including URLs if available) in the Preproposal Narrative using a standard reference format that includes the full citation (i.e., author[s], year published, reference title, and reference source, including volume, chapter, page numbers, and publisher, as appropriate).
  - List of Abbreviations, Acronyms, and Symbols: Provide a list of abbreviations, acronyms, and symbols used in the Preproposal Narrative.
  - Key Personnel Biographical Sketches (five-page limit per individual). *All biographical sketches should be uploaded as a single combined file.* Biographical sketches should be used to demonstrate background and expertise through education, positions, publications, and previous work accomplished.

### • Tab 6 – Submit Pre-Application

This tab must be completed for the pre-application to be accepted and processed.

### **Pre-Application Screening**

### • Pre-Application Screening Criteria

To determine the technical merits of the pre-application and the relevance to the mission of the DHP and the MRP, pre-applications will be screened based on the following criteria:

- How well the rationale and specific aims support the project's objectives. Whether the proposed methodology supports the goals of the project.
- Whether preliminary data and/or previous clinical and/or translational research outcomes that support the proposed research are presented. Whether the application addresses the commonalities in melanoma research to advance understanding of the field.
- Whether the project will leverage information from biobanks, biorepositories, ongoing or closed clinical trials, or other clinical data to address knowledge gaps in clinical observations.
- Whether the proposed project addresses at least one of the FY19 MRP Focus Areas in Section II.A.1. How the proposed research will lead to promising outcomes for one or more of the selected FY19 MRP Focus Area(s) and responds to the FY19 MRP Challenge Statement.

### • Notification of Pre-Application Screening Results

Following the pre-application screening, PIs will be notified as to whether or not they are invited to submit applications; however, they will not receive feedback (e.g., a critique of strengths and weaknesses) on their pre-application. The estimated timeframe for notification of invitation to submit an application is indicated in <u>Section I, Overview of the Funding</u> <u>Opportunity</u>. Invitations to submit a full application are based on the Pre-Application Screening Criteria listed above.

### II.D.2.b. Step 2: Full Application Submission Content

Applications will not be accepted unless notification of invitation has been received.

# The CDMRP cannot make allowances/exceptions to its policies for submission problems encountered by the applicant organization using system-to-system interfaces with Grants.gov.

Each application submission must include the completed full application package for this Program Announcement. The full application package is submitted by the Authorized Organizational Representative through Grants.gov (<u>https://www.grants.gov/</u>) for extramural organizations or through eBRAP (<u>https://ebrap.org/</u>) for intramural organizations. See Table 1 below for more specific guidelines

### II.D.2.b.i. Full Application Guidelines

Extramural organizations must submit full applications through Grants.gov. Applicants must create a Grants.gov Workspace for submission, which allows the application components to be completed online and routed through the applicant organization for review prior to submission. Applicants may choose to download and save individual PDF forms rather than filling out webforms in Workspace. A compatible version of Adobe Reader **must** be used to view, complete, and submit an application package consisting of PDF forms. If more than one person is entering text into an application package, the *same version* of Adobe Reader software should be used by each person. Check the version number of the Adobe software on each user's computer to make sure the versions match. Using different versions of Adobe Reader may cause submission and/or save errors – even if each version is individually compatible with Grants.gov. Refer to the General Application Instructions, Section III, and the "Apply For Grants" page of Grants.gov (https://www.grants.gov/web/grants/applicants/apply-for-grants.html) for further information about the Grants.gov Workspace submission process. Submissions of extramural applications through eBRAP may be withdrawn.

Extramural Submissions	Intramural DoD Submissions	
Application Pa	ckage Location	
Download application package components for W81XWH-19-MRP-TRA from Grants.gov ( <u>https://www.grants.gov</u> ) and create a Grants.gov Workspace. Workspace allows online completion of the application components and routing of the application package through the applicant organization for review prior to submission.	Download application package components for W81XWH-19-MRP-TRA from eBRAP ( <u>https://ebrap.org</u> ).	
Full Application Pa	ckage Components	
<b>SF424 Research &amp; Related Application for</b> <b>Federal Assistance Form:</b> Refer to the General Application Instructions, Section III.A.1, for detailed information.	<ul> <li>Tab 1 – Summary: Provide a summary of the application information.</li> <li>Tab 2 – Application Contacts: This tab will be pre-populated by eBRAP; add Authorized Organizational Representative.</li> </ul>	
<ul> <li>Descriptions of each required file can be found under Full Application Submission Components:</li> <li><u>Attachments</u></li> <li><u>Research &amp; Related Personal Data</u></li> <li><u>Research &amp; Related Senior/Key Person</u> <u>Profile (Expanded)</u></li> <li><u>Research &amp; Related Budget</u></li> <li><u>Project/Performance Site Location(s) Form</u></li> <li><u>Research &amp; Related Subaward Budget</u> <u>Attachment(s) Form</u> (if applicable)</li> </ul>	<ul> <li>Tab 3 – Full Application Files: Upload files under each Application Component in eBRAP. Descriptions of each required file can be found under Full Application Submission Components: <ul> <li><u>Attachments</u></li> <li><u>Key Personnel</u></li> <li><u>Budget</u></li> <li><u>Performance Sites</u></li> </ul> </li> <li>Tab 4 – Application and Budget Data: Review and edit proposed project start date, proposed end date, and budget data pre-populated from the Budget Form.</li> </ul>	
Application Package Submission		
Create a Grants.gov Workspace. Add participants (investigators and Business Officials) to Workspace, complete all required forms, and check for errors before submission. Submit a Grants.gov Workspace Package. An application may be submitted through Workspace by clicking the "Sign and Submit" button on the "Manage Workspace" page, under the "Forms" tab. Grants.gov recommends submission of the application package at least 24-48 hours prior to the close date to allow time	Submit package components to eBRAP (https://ebrap.org). Tab 5 – Submit/Request Approval Full Application: After all components are uploaded and prior to the full application submission deadline, enter your password in the space provided next to "Enter Your Password Here" and press the "Submit Full Application" button. eBRAP will notify your Resource Manager/ Comptroller/Task Area Manager or equivalent Business Official by email.	

# Table 1. Full Application Submission Guidelines

Extramural Submissions	Intramural DoD Submissions	
to correct any potential technical issues that may disrupt the application submission.		
<b>Note:</b> If either the Project Narrative or the budget fails eBRAP validation or if the Project Narrative or the budget needs to be modified, an updated Grants.gov application package must be submitted via Grants.gov as a "Changed/Corrected Application" with the previous Grants.gov Tracking ID <i>prior to</i> the application submission deadline.		
Application Verification Period		
The full application package submitted to Grants.gov may be viewed and modified in eBRAP until the end of the application verification period. During the application verification period, the full application package may be modified <i>with the</i> <i>exception of the Project Narrative and Research</i> & <i>Related Budget Form</i> .	After eBRAP has processed the full application, the organizational Resource Manager/Comptroller/ Task Area Manager or equivalent Business Official and PI will receive email notification of this status and will be able to view and modify application components in eBRAP. During the application verification period, the full application package may be modified <i>with the exception of</i> <i>the Project Narrative and Research &amp; Related</i> <i>Budget Form</i> . Your Resource Manager/Comptroller/Task Area Manager or equivalent Business Official should log into eBRAP to review and to approve prior to the application verification deadline.	
Further Information		
<ul> <li>Tracking a Grants.gov Workspace Package.</li> <li>After successfully submitting a Workspace package, a Grants.gov Tracking Number is automatically assigned to the package. The number will be listed on the "Confirmation" page that is generated after submission.</li> <li>Refer to the General Application Instructions, Section III, for further information regarding Grants.gov requirements.</li> </ul>	Refer to the General Application Instructions, Section IV, for further information regarding eBRAP requirements.	

**Both Extramural and Intramural Organizations:** Application viewing, modification, and verification in eBRAP are strongly recommended, but not required. *The Project Narrative and Research & Related Budget Form cannot be changed after the application submission deadline.* Prior to the full application deadline, a corrected or modified full application package may be submitted. Other application components may be changed until the end of the

application verification period. Verify that subaward budget(s) and budget justification forms are present in eBRAP during the application verification period. If these components are missing, upload them to eBRAP before the end of the application verification period. After the end of the application verification period, the full application cannot be modified.

The full application package must be submitted using the unique eBRAP log number to avoid delays in application processing.

### II.D.2.b.ii. Full Application Submission Components

• Extramural Applications Only

**SF424 Research & Related Application for Federal Assistance Form:** Refer to the General Application Instructions, Section III.A.1, for detailed information.

• Extramural and Intramural Applications

### Attachments:

### Each attachment to the full application components must be uploaded as an individual file in the format specified and in accordance with the formatting guidelines listed in the General Application Instructions, Appendix 4.

For all attachments, ensure that the file names are consistent with the guidance. Attachments will be rejected if the file names are longer than 50 characters or have incorrect file names that contain characters other than the following: A-Z, a-z, 0-9, underscore, hyphen, space, and period. In addition, there are file size limits that may apply in some circumstances. Individual attachments may not exceed 20 MB, and the file size for the entire full application package may not exceed 200 MB.

### • Attachment 1: Project Narrative (10-page limit): Upload as

**"ProjectNarrative.pdf".** The page limit of the Project Narrative applies to text and nontext elements (e.g., figures, tables, graphs, photographs, diagrams, chemical structures, drawings) used to describe the project. Inclusion of URLs that provide additional information to expand the Project Narrative and could confer an unfair competitive advantage is prohibited and may result in administrative withdrawal of the application.

Describe the proposed project in detail using the outline below. *Inclusion of preliminary data is required.* 

Background: Describe the critical scientific or clinical issue that, if successfully addressed, will have a major impact on at least one of the FY19 MRP Focus Areas in <u>Section II.A.1</u> and responds to the FY19 MRP Challenge Statement and program goals. Show how the research focuses on the commonalities in melanoma research to advance the understanding of the field. Include preliminary data and reconcile it with objectives of the research proposed. Preliminary data such as published or unpublished results from the laboratory and/or clinic of the PI or collaborators named

on this application and/or data from the published literature relevant to the proposed research project *must* be included.

- Hypothesis and Objective: State the hypothesis to be tested and the objective to be reached regarding an important problem relevant to at least one of the FY19 MRP Focus Areas.
- **Specific Aims:** State the specific aims of the study.
- Research Strategy: Describe the experimental design, methods, and analyses in sufficient detail for evaluation including availability of resources (if applicable). Address potential problem areas and potential pitfalls, and present alternative methods and approaches. Describe the statistical plan with appropriate power analysis and how it supports the sample size. Describe how data will be reported and how it will be assured that the documentation will support a regulatory filing with the U.S. Food and Drug Administration (FDA), if applicable. Research projects may include preclinical studies in animal models, or clinical research involving human anatomical substances. If animal studies are proposed, explain the choice of model and describe the endpoints/outcome measures to be used. If human anatomical samples will be used, include a plan for the acquisition of samples. *Clinical trials are not allowed*.
- Attachment 2: Supporting Documentation: Combine and upload as a single file named "Support.pdf". Start each document on a new page. If documents are scanned to PDF, the lowest resolution (100 to 150 dpi) should be used. The Supporting Documentation attachment should not include additional information such as figures, tables, graphs, photographs, diagrams, chemical structures, or drawings. These items should be included in the Project Narrative.

### There are no page limits for any of these components unless otherwise noted. Include only those components described below; inclusion of items not requested or viewed as an extension of the Project Narrative will result in the removal of those items or may result in administrative withdrawal of the application.

- References Cited: List the references cited (including URLs, if available) in the Project Narrative using a standard reference format that includes the full citation (i.e., author[s], year published, title of reference, source of reference, volume, chapter, page numbers, and publisher, as appropriate).
- List of Abbreviations, Acronyms, and Symbols: Provide a list of abbreviations, acronyms, and symbols.
- Facilities, Existing Equipment, and Other Resources: Describe the facilities and equipment available for performance of the proposed project and any additional facilities or equipment proposed for acquisition at no cost to the award. Indicate whether or not Government-furnished facilities or equipment are proposed for use. If so, reference should be made to the original or present Government award under

which the facilities or equipment items are now accountable. There is no form for this information.

- Publications and/or Patents: Include a list of relevant publication URLs and/or patent abstracts. If articles are not publicly available, then copies of up to five published manuscripts may be included in Attachment 2. Extra items will not be reviewed.
- Letters of Organizational Support: Provide a letter (or letters, if applicable) signed by the Department Chair or appropriate organization official, confirming the laboratory space, equipment, and other resources available for the project. Letters of support not requested in the Program Announcement, such as those from members of Congress, do not impact application review or funding decisions.
- Letters of Collaboration (if applicable): Provide a signed letter from each collaborating individual or organization that will demonstrate that the PI has the support or resources necessary for the proposed work. If an investigator at an intramural organization is named as a collaborator on an application submitted through an extramural organization, the application must include a letter from the collaborator's Commander or Commanding Officer at the intramural organization that authorizes the collaborator's involvement.
- Intellectual Property: Information can be found in Code of Federal Regulations, Title 2, Part 200.315 (2 CFR 200.315), "Intangible Property."
  - Intellectual and Material Property Plan (if applicable): Provide a plan for resolving intellectual and material property issues among participating organizations.
- Data and Research Resources Sharing Plan: Describe how data and resources generated during the performance of the project will be shared with the research community. Refer to the General Application Instructions, Appendix 2, Section K, for more information about the CDMRP expectations for making data and research resources publicly available.
- Use of DoD Resources (if applicable): Provide a letter of support signed by the lowest-ranking person with approval authority confirming access to active duty military populations and/or DoD resources or databases.
- Use of VA Resources (if applicable): Provide a letter of support from the VA Facility Director(s) or individual designated by the VA Facility Director(s), such as the ACOS/R&D or Clinical Service Chief confirming access to VA patients, resources, and/or VA research space. For VA PIs, if the VA NPC is not identified as the applicant institution for administering the funds, include a letter from the VA ACOS/R&D confirming this arrangement and identifying the institution that will administer the funds associated with the proposed research.
- Attachment 3: Technical Abstract (one-page limit): Upload as "TechAbs.pdf". The technical abstract is used by all reviewers. Abstracts of all funded research projects will

be posted publicly. *Do not include proprietary or confidential information*. Use only characters available on a standard QWERTY keyboard. Spell out all Greek letters, other non-English letters, and symbols. Graphics are not allowed.

- Background: State the FY19 MRP Focus Area(s) in <u>Section II.A.1</u> to be addressed by the proposed research. Describe how the FY19 MRP Challenge Statement will be addressed. Present the ideas and reasoning behind the proposed work. Describe the previous clinical and/or translational research outcomes upon which the study is founded.
- **Objective/Hypothesis:** State the objective to be reached/hypothesis to be tested.
- **Specific Aims:** State the specific aims of the study.
- **Study Design:** Briefly describe the study design and methodology.
- Impact: Briefly describe how the proposed project will have a near-term impact on at least one of the FY19 MRP Focus Areas. Describe how the research will accelerate the movement of promising ideas.
- Attachment 4: Lay Abstract (one-page limit): Upload as "LayAbs.pdf". The lay abstract is used by all reviewers. Abstracts of all funded research projects will be posted publicly. *Do not include proprietary or confidential information*. Use only characters available on a standard QWERTY keyboard. Spell out all Greek letters, other non-English letters, and symbols. Graphics are not allowed.

Lay abstracts should be written using the outline below. Do not duplicate the technical abstract. Avoid overuse of acronyms and abbreviations, if possible. Describe the proposed research project by including the following elements in plain language.

- State the FY19 MRP Focus Area(s) to be addressed by the research project.
- Describe the scientific objective and rationale for the proposed project in a manner that will be *readily understood by readers without a background in science or medicine*.
- In lay persons' terms: Describe the near-term impact and ultimate applicability of the research. What types of patients will it help, and how will it help them? What are the potential clinical applications, benefits, and risks? What is the projected time it may take to achieve a patient-related outcome? What are the likely contributions of this study to advancing at least one of the FY19 MRP Focus Areas? How does the research study respond to the FY19 MRP Challenge Statement?
- Attachment 5: Statement of Work (three-page limit): Upload as "SOW.pdf". The suggested SOW format and examples specific to different types of research projects are available on the eBRAP "Funding Opportunities & Forms" web page (https://ebrap.org/eBRAP/public/Program.htm). For the Translational Research Award

mechanism, use the SOW format example titled "SOW (Statement of Work) Generic Format." The SOW must be in PDF format prior to attaching.

The SOW should include a list of major tasks that support the proposed specific aims, followed by a series of subtasks outlined related to the major tasks and milestones within the period of performance. The SOW should describe only the work for which funding is being requested by this application and, as applicable, should also:

- Include the name(s) of the key personnel and contact information for each study site/ subaward site.
- Indicate the number (and type, if applicable) of research subjects (animal or human) and/or human anatomical samples projected or required for each task and at each site. Refer to the General Application Instructions, Appendix 1, for additional information regarding regulatory requirements.
- For studies with prospective accrual of human subjects, indicate quarterly enrollment targets.
- Identify cell line(s) and commercial or organizational source(s) to be used.
- If applicable, indicate timelines required for regulatory approvals relevant to human subjects research (e.g., Investigational New Drug and Investigational Device Exemption applications) by the FDA or other Government agency.

# • Attachment 6: Source and Quality Assessment of Samples (one-page): Upload as "SQASamples.pdf".

- Indicate the source of study samples. Indicate the name, position of the manager or lead investigator of the source of samples. This should be the same person providing the Letter of Resource Support (see <u>Attachment 7</u>).
- Investigators intending to use banked specimens should include a description of steps that will be taken to assess the quality of the materials and to identify and correct for effects and/or artifacts of sample processing and storage. Outline the development of standardized methods sample assessment.
- If the resource is an ongoing or completed clinical trial, include a description of the sample collection procedures, the assessment of the materials, and the evaluation for effects and/or artifacts of sample processing and storage.

# • Attachment 7: Letter of Resource Support (two-page limit): Upload as "Resource.pdf".

 Provide a signed letter(s) from manager(s) or lead investigator(s) for the biobank(s), biorepository(s), ongoing or completed clinical trial(s) detailing access to the samples and/or resource.

- If the resource is an ongoing clinical trial, provide a letter from the lead investigator detailing the recruitment of human subjects as well as validating the status of the clinical trial as *open and actively recruiting* (number of recruited patients, percent of total recruited patients should be included). If the clinical trial is closed, the letter should indicate the recruitment accomplished, the quality and standardization of the samples, etc.
- Attachment 8: Transition Plan (one-page limit): Upload as "Transition.pdf". Describe the methods and strategies proposed to move the anticipated research outcomes to the next phase of development or clinical application after successful completion of the award. Describe the regulatory strategy, if applicable. The post-award transition plan should include the components listed below.
  - The development and/or commercialization strategy, if applicable.
  - The planned indication for the product label, if appropriate, and an outline of the development plan required to support that indication. Describe in detail the FDA regulatory strategy, to include considerations for compliance with Good Manufacturing Practice, Good Laboratory Practice, and Good Clinical Practice guidelines, if appropriate.
  - Details of the funding strategy to transition to the next level of investigation, development, and/or commercialization (e.g., partners, internal/external funding opportunities to be applied for).
  - For Knowledge Products, a description of collaborations and other resources that will be used to provide continuity of development. A "Knowledge Product" is a nonmateriel product that addresses an identified need, topic area, or capability gap, is based on current evidence and research, aims to transition into medical practice, training, tools, or to support materiel solutions (systems to develop, acquire, provide, and sustain medical solutions and capabilities), and educates or impacts behavior throughout the continuum of care, including primary prevention of negative outcomes.
  - A schedule and milestones for transitioning the anticipated research outcomes to the next level of development (e.g., next-phase clinical trials, transition to industry, delivery to the military or civilian market, incorporation into clinical practice, or approval by the FDA).
  - Ownership rights/access to the intellectual property necessary for the development and/or commercialization of products or technologies supported with this award and the Government's ability to access such products or technologies in the future.
- Attachment 9: Impact Statement (one-page limit): Upload as "Impact.pdf". *The Impact Statement should be written in plain language for lay persons*. Discuss the near-term clinical impact to patients. If the potential outcomes are relevant to clinical research, explain the impact for patients if the project is successful.

- State how the research will accelerate promising findings toward clinical applicability and leverage results to maximize impact. State explicitly how the proposed work addresses a critical problem in at least one of the FY19 MRP Focus Areas in <u>Section II.A.1</u>. Describe how the study addresses the FY19 MRP Challenge Statement and how it will lead to changes in clinical care.
- Explain the significance of the proposed work based on previous clinical and/or translational research outcomes, or current treatment and care options.
- Describe how the research and its anticipated outcomes will benefit military health.
- Attachment 10: Letters Confirming Access to Target Military or VA Patient Population(s) or Resource(s) (e.g., Human Anatomical Substances, Databases), *if applicable* (one-page limit per letter): Upload as "Access.pdf". If the proposed research plan involves access to active duty military and/or VA patient population(s) or resource(s), include a letter of support, signed by the lowest-ranking person with approval authority, confirming such access. If access cannot be confirmed at the time of application submission, the Government reserves the right to withhold or revoke funding until the PI has demonstrated support for and access to the relevant population(s) and/or resource(s).
- Attachment 11: Use of Hazardous Chemical or Biological Agents, *if applicable* (no page limit): Upload as "Hazardous.pdf". The applicant must submit a plan for acquiring, using, and maintaining hazardous agents if such agents are to be used in the study. The plan must contain all applicable information, such as Centers for Disease Control and Prevention registration, an approved organizational safety plan to use the agent(s), and letters of collaboration, agreement, or approval from Government sites issuing any agent(s). Indicate whether agents to be used are purchased commercially, and, if so, confirm that the amount is under regulated limits. Include a statement addressing this requirement along with accompanying letters of collaboration, approvals, and certifications.
- Attachment 12: Representations, if applicable (extramural submissions only): Upload as "RequiredReps.pdf". All extramural applicants must complete and submit the Required Representations template available on eBRAP (<u>https://ebrap.org/eBRAP/public/Program.htm</u>). For more information, see the General Application Instructions, Appendix 5, Section B, Representations.
- Attachment 13: DoD Military Budget Form(s), if applicable: Upload as "MFBudget.pdf". If a military facility (Military Health System facility, research laboratory, medical treatment facility, dental treatment facility, or a DoD activity embedded with a civilian medical center) will be a collaborator in performance of the project, complete the DoD Military Budget Form, available for download on the eBRAP "Funding Opportunities & Forms" web page (<u>https://ebrap.org/eBRAP/public/</u><u>Program.htm</u>), including a budget justification, for each military facility as instructed. The costs per year should be included on the Grants.gov Research & Related Budget

Form under subaward costs. Refer to the General Application Instructions, Section III.A.8, for detailed information.

### • Extramural and Intramural Applications

To evaluate compliance with Title IX of the Education Amendments of 1972 (20 USC A§1681 et seq.), the DoD is collecting certain demographic and career information to be able to assess the success rates of women who are proposed for key roles in applications in science, technology, engineering, and/or mathematics (STEM) disciplines. To enable this assessment, each application must include the following forms completed as indicated.

**Research & Related Personal Data:** For extramural submissions (via Grants.gov), refer to the General Application Instructions, Section III.A.3, and for intramural submissions (via eBRAP), refer to the General Application Instructions, Section IV.A.2, for detailed information.

**Research & Related Senior/Key Person Profile (Expanded):** For extramural submissions (via Grants.gov), refer to the General Application Instructions, Section III.A.4, and for intramural submissions (via eBRAP), refer to the General Application Instructions, Section IV.A.3, for detailed information.

- PI Biographical Sketch (five-page limit): Upload as "Biosketch\_LastName.pdf". The suggested biographical sketch format is available on the "Funding Opportunities & Forms" web page (<u>https://ebrap.org/eBRAP/public/Program.htm</u>) in eBRAP. The NIH Biographical Sketch may also be used. All biographical sketches should be submitted in uneditable PDF format.
- PI Previous/Current/Pending Support (no page limit): Upload as "Support\_LastName.pdf".
- Key Personnel Biographical Sketches (five-page limit each): Upload as "Biosketch\_LastName.pdf".
- Key Personnel Previous/Current/Pending Support (no page limit): Upload as "Support\_LastName.pdf".

**Research & Related Budget:** For extramural submissions (via Grants.gov), refer to the General Application Instructions, Section III.A.5, and for intramural submissions (via eBRAP), refer to the General Application Instructions, Section IV.A.4, for detailed information.

**Budget Justification (no page limit):** Upload as "BudgetJustification.pdf". The budget justification for the entire period of performance must be uploaded to the Research & Related Budget after completion of the budget for Period 1.

**Project/Performance Site Location(s) Form:** For extramural submissions (via Grants.gov), refer to the General Application Instructions, Section III.A.6, and for intramural

submissions (via eBRAP), refer to the General Application Instructions, Section IV.A.5, for detailed information.

### • Extramural Applications Only

**Research & Related Subaward Budget Attachment(s) Form (if applicable):** Refer to the General Application Instructions, Section III.A.7, for detailed information.

- **Extramural Subaward:** Complete the Research & Related Subaward Budget Form through Grants.gov. (Refer to the General Application Instructions, Section III.A.7, for detailed information.) Verify subaward budget(s) and budget justification forms are present in eBRAP during the application verification period. If these components are missing, upload them to eBRAP before the end of the application verification period.
- Intramural DoD Collaborator(s): Complete the DoD Military Budget Form and upload to Grants.gov attachment form as Attachment 13. (Refer to the General Application Instructions, Section IV.A.4, for detailed information.) Each Intramural DoD Collaborator should include costs per year on the Grants.gov Research & Related Budget Form under subaward costs.

# **II.D.3.** Dun and Bradstreet Data Universal Numbering System (DUNS) Number and System for Award Management (SAM)

Applicant organizations and all sub-recipient organizations must have a DUNS number to submit applications to Grants.gov. The applicant organization must also be registered in the Entity Management functional area of the SAM with an "Active" status to submit applications through the Grants.gov portal. Verify the status of the applicant organization's Entity registration in SAM well in advance of the application submission deadline. Allow several weeks to complete the entire SAM registration process. If an applicant has not fully complied with the requirements at the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Refer to the General Application Instructions, Section III, for further information regarding Grants.gov requirements.

### **II.D.4.** Submission Dates and Times

All submission dates and times are indicated in <u>Section I, Overview of the Funding Opportunity</u>. Pre-application and application submissions are required. The pre-application and application submission process should be started early to avoid missing deadlines. There are no grace periods. Failure to meet either of these deadlines will result in submission rejection.

### Applicant Verification of Full Application Submission in eBRAP

Following retrieval and processing of the full application, eBRAP will notify the organizational representatives and PI by email to log into eBRAP to review, modify, and verify the full application submission. eBRAP will validate retrieved files against the specific Program Announcement requirements, and discrepancies will be noted in both the email and in the "Full Application Files" tab in eBRAP. eBRAP does not confirm the accuracy of file content. It is the

applicant's responsibility to review all application components and ensure proper ordering as specified in the Program Announcement. *If either the Project Narrative or the budget fails eBRAP validation or needs to be modified, an updated full application package must be submitted prior to the application submission deadline.* The Project Narrative and Budget Form cannot be changed after the application submission deadline.

*Extramural Submission:* The full application package submitted to Grants.gov may be viewed and modified in eBRAP until the end of the application verification period. During the application verification period, the full application package, *with the exception of the Project Narrative and Budget Form*, may be modified.

*Intramural DoD Submission:* After eBRAP has processed the full application, the organizational Resource Manager/Comptroller/Task Area Manager or equivalent Business Official and PI(s) will receive email notification of the status and will be able to view and modify application components in eBRAP. During the application verification period, the full application package, *with the exception of the Project Narrative and Budget Form*, may be modified. The Resource Manager/Comptroller/Task Area Manager or equivalent Business Official should log into eBRAP to review and to approve the application package prior to the application verification deadline.

*For All Submissions:* Verify that subaward budget(s) with budget justification are present in eBRAP during the application verification period. If these components are missing, upload them to eBRAP before the end of the application verification period.

### **II.D.5. Funding Restrictions**

The maximum period of performance is **3** years.

The anticipated direct costs budgeted for the entire period of performance will not exceed **\$600,000**. If indirect cost rates have been negotiated, indirect costs are to be budgeted in accordance with the organization's negotiated rate. No budget will be approved by the Government exceeding **\$600,000** direct costs or using an indirect cost rate exceeding the organization's negotiated rate.

All direct and indirect costs of any subaward or contract must be included in the total direct costs of the primary award.

The applicant may request the entire maximum funding amount for a project that may have a period of performance less than the maximum 3 years.

For this award mechanism, direct costs may be requested for (not all-inclusive):

- Salary
- Research supplies
- Clinical research costs (*clinical trials are not allowed*)

- Support for multidisciplinary collaborations, including travel
- Travel costs for one investigator to travel to two scientific/technical meetings per year to present project information or disseminate project results from the FY19 MRP Translational Research Award

Must not be requested for:

• Clinical trials

Awards made to extramural organizations will consist solely of assistance agreements (grants and cooperative agreements). For extramural awards with an intragovernmental component, direct transfer of funds from an extramural award recipient to a DoD or other Federal agency is not allowed except under very limited circumstances. Funding to intramural DoD and other Federal agencies will be managed through a direct funds transfer. Intramural applicants are responsible for coordinating through their agency's procedures the use of contractual or assistance funding awards or other appropriate agreements to support extramural collaborators.

Refer to the General Application Instructions, Section III.A.5, for budget regulations and instructions for the Research & Related Budget. *For Federal agencies or organizations collaborating with Federal agencies, budget restrictions apply as are noted in the General Application Instructions, Section III.A.5.* 

Funds to be obligated on any award resulting from this funding opportunity will be available for use for a limited time period based on the fiscal year of the funds. The time is considered when establishing the award's period of performance. It is anticipated that awards made from this FY19 funding opportunity will be funded with FY19 funds, which will expire for use on September 30, 2025.

### **II.D.6.** Other Submission Requirements

Refer to the General Application Instructions, Appendix 4, for detailed formatting guidelines.

### **II.E.** Application Review Information

### II.E.1. Criteria

### II.E.1.a. Peer Review

To determine technical merit, all applications will be evaluated according to the following **scored criteria**, which are of equal importance:

### • Research Strategy and Feasibility

 How well the proposed research addresses an important clinical and/or translational question relevant to at least one of the FY19 MRP Focus Areas and responds to the FY19 MRP Challenge Statement.

- How well the rationale, experimental design, and methodology are appropriate to test the hypothesis and reach the final objective.
- To what degree the statistical plan is appropriate for the experimental methodology being used. Whether the power analysis for the proposed study adequately represents an assessment of the population or subpopulation proposed.
- To what degree the preliminary data and/or previous clinical and/or translational research outcomes support the proposed research.
- How well the application acknowledges potential problems and pitfalls, and addresses alternative approaches.
- How well the animal study (or studies) is designed to achieve the objectives, including the choice of model and endpoints/outcome measures to be used, if applicable.

### • Sample Resource

- Whether the supporting letter from the manager or lead investigator of the sample resource demonstrates a commitment of resources to the study.
- Whether the application provides evidence of the quality of the samples including standardization of collection, processing, and storage.
- To what extent the application describes methods to correct for effects and/or artifacts of sample processing during analysis.

#### • Impact

- Whether the transformative aspects of the proposed research are clearly articulated and demonstrate a potential to lead to significant near-term outcomes for clinical applications.
- Whether the potential clinical research outcomes will offer significant advances with an impact on patients.
- How well the research and its anticipated outcomes will benefit military health.
- To what degree the research will accelerate promising findings toward clinical applicability and leverage results to maximize impact.

### • Transition Plan

- To what extent the proposed plan for the next level of development or commercialization is achievable.
- Whether the funding strategy described to bring the anticipated research outcomes to the next level of development is reasonable and realistic.

- Whether the regulatory strategy and the development plan to support the proposed product label, if applicable, are appropriate and well described.
- To what degree the proposed collaborations and other resources for providing continuity of development are established and/or achievable.
- Whether the schedule and milestones for bringing the anticipated research outcomes to the next level of development are achievable.
- Whether the applicants have demonstrated that they have access to all intellectual property rights necessary for the next level of development or commercialization, and if not, whether a plan for management of intellectual property is in place, including the Government's ability to access such products or technologies in the future.

In addition, the following **unscored** criteria will also contribute to the overall evaluation of the application:

### • Personnel

• How appropriate the levels of effort are for successful conduct of the proposed work.

### • Environment

- If applicable, to what degree the intellectual and material property plan is appropriate.
- To what degree the scientific environment is appropriate for the proposed research.
- To what degree the research requirements are supported by the availability of, and accessibility to, facilities and resources (including collaborative arrangements).
- To what degree the quality and extent of institutional support are appropriate.

### • Budget

- Whether the **direct** maximum costs are equal to or less than the allowable direct maximum costs as published in the Program Announcement.
- Whether the budget is appropriate for the proposed research.
- Whether there may be significant overlap with existing or pending awards of the PI.

### • Application Presentation

To what extent the writing, clarity, and presentation of the application components influence the review.

### **II.E.1.b.** Programmatic Review

To make funding recommendations and select the application(s) that, individually or collectively, will best achieve the program objectives, the following criteria are used by programmatic reviewers:

- Ratings and evaluations of the peer reviewers
- Relevance to the mission of the DHP and FY19 MRP, as evidenced by the following:
  - Adherence to the intent of the award mechanism
  - Program portfolio composition
  - Relevance to FY19 MRP Focus Areas and the FY19 MRP Challenge Statement
  - Relative impact
  - Transitional potential

### **II.E.2.** Application Review and Selection Process

All applications are evaluated by scientists, clinicians, and consumers in a two-tier review process. The first tier is **peer review**, the evaluation of applications against established criteria to determine technical merit, where each application is assessed for its own merit, independent of other applications. The second tier is **programmatic review**, a comparison-based process in which applications with high scientific and technical merit are further evaluated for programmatic relevance. Final recommendations for funding are made to the Commanding General, USAMRMC, on behalf of the DHA and the OASD(HA). *The highest-scoring applications from the first tier of review are not automatically recommended for funding. Funding recommendations depend on various factors as described in Section II.E.1.b, Programmatic Review.* Additional information about the two-tier process used by the CDMRP can be found at <a href="https://cdmrp.army.mil/about/2tierRevProcess">https://cdmrp.army.mil/about/2tierRevProcess</a>. A PI Information Paper describing the funding recommendations and review process for the award mechanisms for the MRP will be provided to the PI and posted on the CDMRP website.

All CDMRP review processes are conducted confidentially to maintain the integrity of the meritbased selection process. Panel members sign a statement declaring that application and evaluation information will not be disclosed outside the panel. Violations of confidentiality can result in the dissolving of a panel(s) and other corrective actions. In addition, personnel at the applicant or collaborating organizations are prohibited from contacting persons involved in the review and approval process to gain protected evaluation information or to influence the evaluation process. Violations of these prohibitions will result in the administrative withdrawal of the organization's application. Violations by panel members or applicants that compromise the confidentiality of the review and approval process may also result in suspension or debarment from Federal awards. Furthermore, the unauthorized disclosure of confidential information of one party to another third party is a crime in accordance with 18 USC 1905.

### **II.E.3. Integrity and Performance Information**

Prior to making an assistance agreement award where the Federal share is expected to exceed the simplified acquisition threshold, as defined in 2 CFR 200.88, over the period of performance, the Federal awarding agency is required to review and consider any information about the applicant that is available in the Federal Awardee Performance and Integrity Information System (FAPIIS).

An applicant organization may review FAPIIS, accessible through SAM, and submit comments to FAPIIS on any information about the organization that a Federal awarding agency previously entered and is currently available in FAPIIS.

The Federal awarding agency will consider any comments by the applicant, in addition to other information in the designated integrity and performance system, in making a judgment about the applicant's integrity, business ethics, and record of performance under Federal awards when determining a recipient's qualification prior to award, according to the qualification standards of the Department of Defense Grant and Agreement Regulations (DoDGARs), Section 22.415.

### **II.E.4.** Anticipated Announcement and Federal Award Dates

All application review dates and times are indicated in <u>Section I, Overview of the Funding</u> <u>Opportunity</u>.

Each PI and organization will receive email notification of posting of the funding recommendation in eBRAP. Each PI will receive a peer review summary statement on the strengths and weaknesses of the application.

# **II.F. Federal Award Administration Information**

### **II.F.1. Federal Award Notices**

Awards supported with FY19 funds are anticipated to be made no later than September 30, 2020. Refer to the General Application Instructions, Appendix 2, for additional award administration information.

After email notification of application review results through eBRAP, and if selected for funding, a representative from USAMRAA will contact the Business Official authorized to negotiate on behalf of the PI's organization.

Only an appointed USAMRAA Grants Officer may obligate the Government to the expenditure of *funds*. No commitment on the part of the Government should be inferred from discussions with any other individual. The award document signed by the Grants Officer is the official authorizing document.

*Federal Government Organizations:* Funding made to Federal Government organizations (to include intramural DoD organizations) will be executed through the Military Interdepartmental Purchase Request (MIPR) or Funding Authorization Document (FAD) process. Transfer of

funds is contingent upon appropriate safety and administrative approvals. Intramural applicants and collaborators are reminded to coordinate receipt and commitment of funds through their respective Resource Manager/Task Area Manager/Comptroller or equivalent Business Official.

After email notification of application review results through eBRAP, and if selected for funding, a representative from the CDMRP will contact the Business Official authorized to negotiate on behalf of the PI's organization.

### II.F.1.a. PI Changes and Award Transfers

Unless otherwise restricted, changes in PI or organization will be allowed at the discretion of the USAMRAA Grants Officer, provided the intent of the award mechanism is met.

An organizational transfer of an award will not be allowed in the last year of the (original) period of performance or any extension thereof.

Refer to the General Application Instructions, Appendix 2, Section B, for general information on organization or PI changes.

### **II.F.2.** Administrative and National Policy Requirements

Applicable requirements in the DoDGARs found in 32 CFR, Chapter I, Subchapter C, and 2 CFR, Chapter XI, apply to grants and cooperative agreements resulting from this Program Announcement.

Refer to the General Application Instructions, Appendix 2, for general information regarding administrative requirements.

Refer to the General Application Instructions, Appendix 5, for general information regarding national policy requirements.

Refer to full text of the latest <u>DoD R&D General Terms and Conditions</u>, the <u>USAMRAA</u> <u>General Research Terms and Conditions with Institutions of Higher Education, Hospitals, and</u> <u>Non-Profit Organizations: Addendum to the DoD R&D General Terms and Conditions</u>, and the <u>USAMRAA General Research Terms and Conditions with For-Profit Organizations</u> for further information.

### **II.F.3.** Reporting

Refer to the General Application Instructions, Appendix 2, Section A, for general information on reporting requirements. *If there are technical reporting requirement delinquencies for any existing USAMRAA-sponsored awards at the applicant organization, no new awards will be issued to the applicant organization until all delinquent reports have been submitted.* 

Annual progress reports as well as a final progress report will be required.

In addition to written progress reports, annual Award Charts may be requested. For the Translational Research Award mechanism, use the format example "Award Charts," available on the eBRAP "Funding Opportunities & Forms" web page (<u>https://ebrap.org/eBRAP/</u><u>public/Program.htm</u>).

Award Expiration Transition Plan: An Award Expiration Transition Plan must be submitted with the final progress report. Use the one-page template "Award Expiration Transition Plan," available on the eBRAP "Funding Opportunities & Forms" web page (<u>https://ebrap.org/eBRAP/public/Program.htm</u>) under the "Progress Report Formats" section. The Award Expiration Transition Plan must outline if and how the research supported by this award will progress and must include source(s) of funding, either known or pending.

Awards resulting from this Program Announcement will incorporate additional reporting requirements related to recipient integrity and performance matters. Recipient organizations that have Federal contract, grant, and cooperative agreement awards with a cumulative total value greater than \$10,000,000 are required to provide information to FAPIIS about certain civil, criminal, and administrative proceedings that reached final disposition within the most recent 5-year period and that were connected with performance of a Federal award. Recipients are required to disclose, semiannually, information about criminal, civil, and administrative proceedings as specified in the applicable Representations (see General Application Instructions, Appendix 5, Section B).

# **II.G. Federal Awarding Agency Contacts**

### II.G.1. CDMRP Help Desk

Questions related to Program Announcement content or submission requirements as well as questions related to the pre-application or intramural application submission through eBRAP should be directed to the CDMRP Help Desk, which is available Monday through Friday from 8:00 a.m. to 5:00 p.m. ET. Response times may vary depending upon the volume of inquiries.

Phone: 301-682-5507

Email: <u>help@eBRAP.org</u>

### **II.G.2.** Grants.gov Contact Center

Questions related to extramural application submission through Grants.gov portal should be directed to the Grants.gov Contact Center, which is available 24 hours a day, 7 days a week (closed on U.S. Federal holidays). Note that the CDMRP Help Desk is unable to provide technical assistance with Grants.gov submission.

Phone: 800-518-4726; International 1-606-545-5035

Email: <u>support@grants.gov</u>

Sign up on Grants.gov for "send me change notification emails" by following the link on the "Synopsis" page for the Program Announcement or by responding to the prompt provided by Grants.gov when first downloading the Grants.gov application package. If the Grants.gov application package is updated or changed, the original version of the application package may not be accepted by Grants.gov.

# **II.H. Other Information**

### **II.H.1. Program Announcement and General Application Instructions Versions**

Questions related to this Program Announcement should refer to the Program name, the Program Announcement name, and the Program Announcement version code 20190218e. The Program Announcement numeric version code will match the General Application Instructions version code 20190218.

#### **II.H.2.** Administrative Actions

After receipt of pre-applications or applications, the following administrative actions may occur:

### II.H.2.a. Rejection

The following will result in administrative rejection of the pre-application:

- Preproposal Narrative exceeds page limit.
- Preproposal Narrative is missing.

The following will result in administrative rejection of the application:

- Submission of an application for which a letter of invitation was not received.
- Project Narrative exceeds page limit.
- Project Narrative is missing.
- Budget is missing.

#### II.H.2.b. Modification

- Pages exceeding the specific limits will be removed prior to review for all documents other than the Preproposal Narrative and Project Narrative.
- Documents not requested will be removed.

#### II.H.2.c. Withdrawal

The following may result in administrative withdrawal of the pre-application or application:

- An FY19 MRP Programmatic Panel member is named as being involved in the research proposed or is found to have assisted in the pre-application or application processes including, but not limited to, concept design, application development, budget preparation, and the development of any supporting documentation. *A list of the FY19 MRP Programmatic Panel members can be found at <u>https://cdmrp.army.mil/mrp/panels/panels19</u>.*
- The application fails to conform to this Program Announcement description.
- Inclusion of URLs, with the exception of links in References Cited and Publication and/or Patent Abstract sections.
- Page size is larger than 8.5 inches x 11.0 inches (approximately 21.59 cm x 27.94 cm).
- To preserve the integrity of its peer and programmatic review processes, the CDMRP discourages inclusion of any employee of its review contractors having any role in the preparation, research or other duties for submitted applications. For FY19, the identities of the peer review contractor and the programmatic review contractor may be found at the CDMRP website (https://cdmrp.army.mil/about/2tierRevProcess). Applications that include names of personnel from either of these companies may be administratively withdrawn.
- Personnel from applicant or collaborating organizations are found to have contacted persons involved in the review or approval process to gain protected evaluation information or to influence the evaluation process.
- Applications from extramural organizations, including non-DoD Federal agencies, received through eBRAP may be withdrawn.
- Applications submitted by an intramural DoD organization may be withdrawn if the intramural organization cannot coordinate the use of contractual, assistance, or other appropriate agreements to provide funds to extramural collaborators.
- Submission of the same research project to different funding opportunities within the same program and fiscal year.
- The invited application proposes a different research project than that described in the preapplication.
- Preliminary data are not included.
- A clinical trial is proposed.
- The main subject of the research is non-melanoma skin cancers.
- The PI does not meet the eligibility criteria.
- The pre-application or application does not address at least one of the FY19 MRP Focus Areas in <u>Section II.A.1</u>.
- The applicant fails to demonstrate access to the relevant study population or resources.

### II.H.2.d. Withhold

Applications that appear to involve research misconduct will be administratively withheld from further consideration pending organizational investigation. The organization will be required to provide the findings of the investigation to the USAMRAA Grants Officer for a determination of the final disposition of the application.

# II.H.3. Application Submission Checklist

Application Components	Action	Completed
SF424 Research & Related Application for Federal Assistance ( <b>Extramural</b> <b>submissions only</b> )	Complete form as instructed	
Summary (Tab 1) and Application Contacts (Tab 2) (Intramural submissions only)	Complete tabs as instructed	
only) Attachments	Project Narrative: Upload as Attachment 1 with file name "ProjectNarrative.pdf"Supporting Documentation: Upload as Attachment 2 with file name "Support.pdf"Technical Abstract: Upload as Attachment 3 with file name "TechAbs.pdf"Lay Abstract: Upload as Attachment 4 with file name "LayAbs.pdf"Statement of Work: Upload as Attachment 5 with file name "SOW.pdf"Source and Quality Assessment of Samples: Upload as Attachment 6 with file name "SQASamples.pdf"Letter of Resource Support: Upload as Attachment 7 with file name "Resource.pdf"Transition Statement: Upload as Attachment 8 with file name "Transition.pdf"Impact Statement: Upload as Attachment 9 with file name "Impact.pdf"Letters Confirming Access to Target Military or VA Patient Population(s) or Resource(s) (e.g., Human/Animal Anatomical Substances, Databases): Upload as Attachment 10 with file name "Access.pdf" if applicableUse of Hazardous Chemical or Biological Agents: Upload as Attachment 11 with file name "Hazardous.pdf" if applicable	
	Representations (Extramural submissions only): Upload as Attachment 12 with file name "RequiredReps.pdf" if applicable DoD Military Budget Form(s): Upload as Attachment 13 with file name "MFBudget.pdf" if applicable	

Application Components	Action	Completed
Research & Related Personal Data	Complete form as instructed	
Research & Related Senior/Key Person Profile (Expanded)	Attach PI Biographical Sketch (Biosketch_LastName.pdf) to the appropriate field	
	Attach PI Previous/Current/Pending Support (Support_LastName.pdf) to the appropriate field	
	Attach Biographical Sketch (Biosketch_LastName.pdf) for each senior/key person to the appropriate field	
	Attach Previous/Current/Pending (Support_LastName.pdf) for each senior/key person to the appropriate field	
Research & Related Budget	Complete as instructed. Attach Budget	
(Extramural submissions only)	Justification (BudgetJustification.pdf) to the appropriate field	
Budget (Intramural submissions only)	Complete the DoD Military Budget Form and justification	
Project/Performance Site Location(s) Form	Complete form as instructed	
Research & Related Subaward Budget Attachment(s) Form, if applicable	Complete form as instructed	

### **APPENDIX 1: ACRONYM LIST**

ACOS/R&D	Associate Chief of Staff for Research and Development
ACURO	Animal Care and Use Review Office
CDMRP	Congressionally Directed Medical Research Programs
CFR	Code of Federal Regulations
COI	Conflict of Interest
DHA	Defense Health Agency
DHP	Defense Health Program
DoD	Department of Defense
DoDGARs	Department of Defense Grant and Agreement Regulations
DUNS	Data Universal Numbering System
eBRAP	Electronic Biomedical Research Application Portal
EC	Ethics Committee
ET	Eastern Time
FAD	Funding Authorization Document
FAPIIS	Federal Awardee Performance and Integrity Information System
FY	Fiscal Year
HRPO	Human Research Protection Office
IACUC	Institutional Animal Care and Use Committee
IRB	Institutional Review Board
Μ	Million
MIPR	Military Interdepartmental Purchase Request
MRP	Melanoma Research Program
NPC	Non-Profit Corporation
OASD(HA)	Office of the Assistant Secretary of Defense for Health Affairs
ORCID	Open Researcher and Contributor ID, Inc.
ORP	Office of Research Protections
PI	Principal Investigator
RDT&E	Research, Development, Test, and Evaluation
SAM	System for Award Management
SOW	Statement of Work
STEM	Science, Technology, Engineering, and/or Mathematics
USAMRAA	U.S. Army Medical Research Acquisition Activity
USAMRMC	U.S. Army Medical Research and Materiel Command
USC	United States Code
VA	Department of Veterans Affairs