I. OVERVIEW OF THE FUNDING OPPORTUNITY

Program Announcement for the Department of Defense

Defense Health Program

Congressionally Directed Medical Research Programs

Lupus Research Program

Transformative Vision Award

Announcement Type: Initial

Funding Opportunity Number: HT942524LRPTVA

Assistance Listing Number: 12.420 Military Medical

Research and Development

SUBMISSION AND REVIEW DATES AND TIMES

 Pre-Application (Letter of Intent) Submission Deadline: 5:00 p.m. Eastern time (ET), July 17, 2024

• Application Submission Deadline: 11:59 p.m. ET, August 6, 2024

• End of Application Verification Period: 5:00 p.m. ET, August 12, 2024

• Peer Review: September 2024

Programmatic Review: January 2025

This program announcement must be read in conjunction with the General Application Instructions, version 901. The General Application Instructions document is available for downloading from the Grants.gov funding opportunity announcement by selecting the "Package" tab, clicking "Preview," and then selecting "Download Instructions."

TABLE OF CONTENTS

l.	OVERVIEW OF THE FUNDING OPPORTUNITY	1
II.	DETAILED INFORMATION ABOUT THE FUNDING OPPORTUNITY	3
	II.A. Program Description	3
	II.A.1. FY24 LRP Transformative Vision Award Focus Areas	3
	II.A.2. Award History	
	II.B. Award Information	
	II.C. Eligibility Information	6
	II.C.1. Eligible Applicants	6
	II.C.2. Cost Sharing	7
	II.C.3. Other	7
	II.D. Application and Submission Information	7
	II.D.1. Location of Application Package	7
	II.D.2. Content and Form of the Application Submission	9
	II.D.2.a. Step 1: Pre-Application Submission	9
	II.D.2.b. Step 2: Full Application Submission	11
	II.D.2.c. Applicant Verification of Full Application Submission in eBRAP	20
	II.D.3. Unique Entity Identifier (UEI) and System for Award Management (SAM)	21
	II.D.4. Submission Dates and Times	21
	II.D.5. Funding Restrictions	21
	II.D.6. Other Submission Requirements	22
	II.E. Application Review Information	22
	II.E.1. Criteria	22
	II.E.2. Application Review and Selection Process	25
	II.E.3. Integrity and Performance Information	25
	II.F. Federal Award Administration Information	26
	II.F.1. Federal Award Notices	26
	II.F.2. PI Changes and Award Transfers	26
	II.F.3. Administrative and National Policy Requirements	27
	II.F.4. Reporting	
	II.G. Federal Awarding Agency Contacts	
	II.G.1. eBRAP Help Desk	
	II.G.2. Grants.gov Contact Center	
	II.H. Other Information	
	II.H.1. Program Announcement and General Application Instructions Versions	
	II.H.2. Administrative Actions	
AF	PPENDIX 1: ACRONYM LIST	33

II. DETAILED INFORMATION ABOUT THE FUNDING OPPORTUNITY

II.A. Program Description

The U.S. Army Medical Research Acquisition Activity (USAMRAA) is soliciting applications to the fiscal year 2024 (FY24) Lupus Research Program (LRP) using delegated authority provided by United States Code, Title 10, Section 4001 (10 USC 4001). The Congressionally Directed Medical Research Programs (CDMRP) at the U.S. Army Medical Research and Development Command (USAMRDC) is the program management agent for this funding opportunity. Congress initiated the LRP in 2017 to provide support for research of high potential impact and exceptional scientific merit. Appropriations for the LRP from FY17 through FY23 totaled \$55 million (M). The FY24 appropriation is \$10M.

The LRP's mission is to fund research to understand, prevent, and diagnose lupus and to improve treatments and quality of life of patients, including Service Members and their Families, Veterans, and the general public.

The LRP's vision is to cure lupus through partnership of scientists, clinicians, and consumers.

II.A.1. FY24 LRP Transformative Vision Award Focus Areas

Lupus is a complex disease that impacts multiple factors of an individual's life. Because of this, the LRP retains a broad research scope to ensure funding opportunities exist for any promising avenues of research with the potential to lead to improvements in treatments, patient quality of life, or lessening the severity of symptoms. The LRP welcomes applicants new to the field of lupus research with novel and innovative ideas for interventions that have the potential to make a significant impact in the lives of individuals with lupus. Applications may address the LRP Focus Areas in lupus patients of any age, including, but not limited to, those with disproportionate health burdens. The LRP encourages the use of computational methodology, data science, and innovative technology for biological, clinical data, and healthcare delivery.

To meet the intent of the funding opportunity, applications for the FY24 LRP Transformative Vision Award (TVA) **are required** to address at least one of the following FY24 LRP TVA Focus Areas:

- Innovative health care delivery models improving outcomes for lupus.
- Design and implement an intervention either at the individual and/or health care system level to improve the quality of life and mental and behavioral health for individuals living with lupus. Example interventions include, but are not limited to, access to health care resources, outcomes research, patient-reported outcomes, symptom and disease control, comparative effectiveness research, nutrition, alternative therapies, addressing social determinants of health, maternal fetal health, and issues and challenges that, when addressed, make day-to-day living with lupus easier and life more fulfilling.

II.A.2. Award History

The LRP TVA mechanism was first offered in FY20. Since then, 20 LRP TVA applications have been received, and three have been recommended for funding.

II.B. Award Information

The LRP TVA supports applications that will fulfill an extraordinary vision for dramatically improving the quality of life of persons with lupus using an intervention at the individual and/or health care system level. This award requires a plan that will test and achieve the vision through the translation of research ideas which, when addressed, will result in a near-term impact on the quality of life of persons living with lupus. *Preliminary data are required. Human subject research and clinical trials are allowed under this program announcement.*

The CDMRP encourages research on health areas and conditions that affect women uniquely, disproportionately, or differently from men, including studies analyzing sex as a biological variable. Such research should relate anticipated project findings to improvements in women's health outcomes and/or advancing knowledge for women's health.

PIs seeking funding for preliminary and feasibility research to support a future LRP TVA application should consider the FY24 LRP Transformative Vision Development Award (Funding Opportunity Number HT942524LRPTVDA).

The following are important aspects of the LRP TVA:

- Impact: The proposed research, if successful, should have a major impact on the quality of life of lupus patients. Applications should clearly and explicitly describe the potential impact(s) of the proposed study for individuals living with lupus and to convey its level of significance. The LRP TVA supports high-risk, high-reward studies that have an intervention with the potential to make a significant, near-term impact on the quality of life of lupus patients.
- **Research Strategy:** The scientific rationale and experimental methodology should demonstrate critical understanding and in-depth analysis of lupus. Experimental strategies may be novel or may be based on strong rationale derived from a literature review.
- **Focus Areas:** The proposed research must address at least one of the <u>FY24 LRP Focus</u> Areas for the TVA.
- **Research Team:** The research team's background should be appropriate with respect to its ability to successfully complete the proposed work.
- **Consumer Advocate:** Applications are required to include consumer advocate involvement. The research team *must* include one or more consumer advocate(s), who will be integral throughout the planning and implementation of the research project. Consumer advocates should be involved in the development of the research question, project design, oversight, recruitment, and evaluation, as well as other significant aspects of the proposed project.

Interactions with other team members should be well integrated and ongoing, not limited to attending seminars and semi-annual meetings. As lay representatives, the consumer advocates must be individuals who have been diagnosed with lupus or are caregivers, and they should be active in a lupus advocacy organization. Their role in the project should be independent of their employment, and they cannot be employees of any of the organizations participating in the application. Their role should be focused on providing objective input on the research and its potential impact for individuals with, or at risk for, lupus. The consumer advocate(s) should have a high level of knowledge of current lupus issues and the appropriate background or training in lupus research to contribute to the project.

• **Budget:** The proposed budget should be appropriate for the proposed research and within the limitations of this program announcement.

Animal research is not allowed under this program announcement.

Partnering Principal Investigator (PI) Option:

The LRP TVA encourages applications that include meaningful and productive collaborations between investigators. One PI will be identified as the Initiating PI and will be responsible for the majority of the administrative tasks associated with application submission. The other PI will be identified as a Partnering PI(s), with **a maximum of two** Partnering PIs. All PIs should contribute significantly to the development of the proposed research project, including the Project Narrative, Statement of Work (SOW), and other required components. If recommended for funding, each PI will be named to an individual award within the recipient organization. For individual submission requirements for the Initiating and Partnering PI, refer to Section II.D.2, Content and Form of the Application Submission.

A clinical trial is defined in the Code of Federal Regulations, Title 45, Part 46.102 (45 CFR 46.102) as a research study in which one or more human subjects are prospectively assigned to one or more interventions (which may include a placebo or another control) to evaluate the effects of the interventions on biomedical or behavioral health-related outcomes.

Studies that do not seek to measure safety, effectiveness, and/or efficacy outcome(s) of an intervention are not considered clinical trials.

For the purposes of this funding opportunity, research that meets the definition of a clinical trial is distinct from clinical research. Clinical research encompasses research with human data, human specimens, and/or interaction with human subjects. Clinical research is observational in nature and includes:

(1) Research conducted with human subjects and/or material of human origin such as data, specimens, and cognitive phenomena for which an investigator (or co-investigator) does *not* seek to assess the safety, effectiveness, and/or efficacy outcomes of an intervention. Research meeting this definition may include but is not limited to: (a) mechanisms of human disease, (b) diagnostic or detection studies (e.g., biomarker or imaging), (c) health disparity studies, and (d) development of new technologies.

- (2) Epidemiologic and behavioral studies that do *not* seek to assess the safety, effectiveness, and/or efficacy outcomes of an intervention.
- (3) Outcomes research and health services research that do not fit under the definition of clinical trial.

Excluded from the definition of clinical research are in vitro studies that utilize human data or specimens that cannot be linked to a living individual and meet the requirements for exemption under §46.104(d)(4) of the Common Rule.

The funding instrument for awards made under the program announcement will be grants (31 USC 6304).

The anticipated total costs budgeted for the entire period of performance for an FY24 LRP TVA should not exceed **\$2M**. Refer to <u>Section II.D.5</u>, <u>Funding Restrictions</u>, for detailed funding information.

Awards supported with FY24 funds will be made no later than September 30, 2025.

The CDMRP expects to allot approximately \$2M to fund one FY24 LRP TVA application. Funding of applications received is contingent upon the availability of federal funds for this program, the number of applications received, the quality and merit of the applications as evaluated by peer and programmatic review, and the requirements of the government. Funds to be obligated on any award resulting from this funding opportunity will be available for use for a limited time period based on the fiscal year of the funds. It is anticipated that awards made from this FY24 funding opportunity will be funded with FY24 funds, which will expire for use on September 30, 2030.

II.C. Eligibility Information

II.C.1. Eligible Applicants

II.C.1.a. Organization: Extramural and Intramural organizations are eligible to apply, including foreign or domestic organizations, for-profit and non-profit organizations, and public entities.

Extramural Organization: An eligible non-Department of Defense (DOD) organization. Examples of extramural organizations include academic institutions, biotechnology companies, foundations, federal government organizations other than the DOD (i.e., intragovernmental organizations), and research institutes.

Intramural DOD Organization: Refers specifically to DOD organizations including DOD laboratories, DOD military treatment facilities, and/or DOD activities embedded within a civilian medical center.

Awards are made to eligible *organizations*, not to individuals.

Refer to the General Application Instructions, Appendix 1, for additional recipient qualification requirements. Please see Section I of this Program Announcement for details on how to download the General Application Instructions from Grants.gov.

II.C.1.b. Principal Investigator

Independent investigators at or above the level of Assistant Professor (or equivalent) are eligible to be named by the applicant organization as the PI or as the Initiating or Partnering PI for applications submitted to the Partnering Option.

Each investigator may be named on only one FY24 LRP TVA application as either the Initiating PI or a Partnering PI.

An eligible PI, regardless of ethnicity, nationality, or citizenship status, must be employed by or affiliated with an eligible organization.

II.C.2. Cost Sharing

Cost sharing/matching is not an eligibility requirement.

II.C.3. Other

Organizations must be able to access **.gov** and **.mil** websites to fulfill the financial and technical deliverable requirements of the award and submit invoices for payment.

Refer to <u>Section II.H.2</u>, <u>Administrative Actions</u>, for a list of administrative actions that may be taken if a pre-application or full application does not meet the administrative, eligibility, or ethical requirements defined in this program announcement.

II.D. Application and Submission Information

II.D.1. Location of Application Package

Submission is a two-step process requiring both a *pre-application* submitted via the Electronic Biomedical Research Application Portal (eBRAP.org) and a *full application* (eBRAP.org or Grants.gov). Depending on the type of submission (i.e., extramural versus intramural), certain aspects of the submission process will differ.

The CDMRP uses two portal systems to accept pre- and full application submissions.

eBRAP (https://ebrap.org) is a secure web-based system that allows PIs and/or organizational representatives from both extra- and intramural organizations to receive communications from the CDMRP and submit their pre-applications. Additionally, eBRAP allows extramural applicants to view and verify full applications submitted to Grants.gov and allows intramural

DOD applicants to submit and verify full applications following their pre-application submission.

Grants.gov (https://grants.gov) is a federal system that must be used by funding agencies to announce extramural grant applications. Full applications for CDMRP funding opportunities can only be submitted to Grants.gov after submission of a pre-application through eBRAP.

Step1: Submit Pre-Application (Extramural and Intramural Submissions) Letter of Intent Submitted Through eBRAP Step 2: Submit Full Application Extramural Submission Submitted Through Grants.gov Intramural Submission Submitted Through eBRAP Verify Application Content in eBRAP

Application Submission Workflow

Extramural Submission: An application submitted by an <u>extramural organization</u> for an extramural or intramural PI working within an extramural or intramural organization. For example, a research foundation submitting an application for a DOD employee working within a DOD organization would be considered an extramural submission and should follow instructions specific to extramural submissions. Download application package components for HT942524LRPTVA from Grants.gov (https://grants.gov). Full applications from extramural organizations *must* be submitted through Grants.gov.

Intramural Submission: An application submitted by an <u>intramural DOD organization</u> for an investigator employed by that organization. Intramural DOD organizations <u>may</u> submit full applications to either eBRAP or Grants.gov. Download application package components for HT942524LRPTVA from the anticipated submission portal eBRAP (https://ebrap.org) or Grants.gov.

The submission process should be started early to avoid missing deadlines. Regardless of submission type or portal used, all pre- and full application components must be submitted by the deadlines stipulated on the first page of this program announcement. There are no grace periods for deadlines; failure to meet submission deadlines will result in application rejection. *The USAMRAA cannot make allowances/exceptions for submission problems encountered by the applicant organization using system-to-system interfaces with Grants.gov.*

II.D.2. Content and Form of the Application Submission

Submitting applications that propose essentially the same research project to different funding opportunities within the same program and fiscal year is prohibited and will result in administrative withdrawal of the duplicative application(s).

Unnecessary duplication of funding, or accepting funding from more than one source for the same research, is prohibited. See the CDMRP's full position on research duplication at https://cdmrp.health.mil/funding/researchDup.

Including classified research data within the application and/or proposing research that may produce classified outcomes, or outcomes deemed sensitive to national security concerns, may result in application withdrawal. Refer to the General Application Instructions, Appendix 7, Section B.

FY24 LRP Programmatic Panel members should not be involved in any pre-application or full application. For questions related to panel members and pre-applications or applications, refer to Section II.H.2.c, Withdrawal, or contact the eBRAP Help Desk at help@eBRAP.org or 301-682-5507.

II.D.2.a. Step 1: Pre-Application Submission

Regardless of submission type (i.e., extramural or intramural), all pre-application components must be submitted by the PI through eBRAP.

During the pre-application process, eBRAP assigns each submission a unique log number. This unique log number is required during the full application submission process. The eBRAP log number, application title, and all information for the PI, Business Official(s), performing organization, and contracting organization must be consistent throughout the entire pre-application and full application submission process. Inconsistencies may delay application processing and limit or negate the ability to view, modify, and verify the application in eBRAP. If any changes need to be made, the applicant should contact the eBRAP Help Desk at help@eBRAP.org or 301-682-5507 prior to the application submission deadline.

When starting the pre-application, applicants will be asked to select a "Mechanism Option." Please be sure to select the correct option appropriate to your pre-application:

Application Includes:	Select Option:
Single PI	TVA
Partnering Option	TVA-PPIO
Clinical Trial Option	TVA-CT
Partnering and Clinical Trial Option	TVA-PPIO-CT

All pre-application components must be submitted by the PI or Initiating PI through eBRAP (https://eBRAP.org/), including the submission of contact information for each Partnering PI.

During the pre-application process, eBRAP assigns each submission a unique log number. This unique log number is required during the full application submission process. The eBRAP log number, application title, and all information for each PI, the Business Official(s), performing organization(s), and contracting organization(s) must be consistent throughout the entire pre-application and full application submission process. Inconsistencies may delay application processing and limit or negate the ability to view, modify, and verify the application in eBRAP. If any changes need to be made, the applicant should contact the eBRAP Help Desk at help@eBRAP.org or 301-682-5507 prior to the application submission deadline.

Partnering PI Option: After the Initiating PI confirms submission of the pre-application, each Partnering PI will be notified of the pre-application submission via an email from eBRAP. *Each Partnering PI must follow the link in the notification email to associate the partnering pre-application with their eBRAP account.* If not previously registered, each Partnering PI must register in eBRAP.

After associating the pre-application with their eBRAP account, each Partnering PI should email the eBRAP Help Desk (help@ebrap.org) to have the desired contact information associated with their pre-application. The email should include the pre-application log number, the name of the Business Official, the name(s) of the Performing/Contracting Organization(s), and the submission-type for the pre-application (extramural or intramural).

Partnering PIs should not initiate a new pre-application based on the same research project submitted by the Initiating PI. Partnering PIs are urged to complete these steps as soon as possible. If they are not completed:

- The Partnering PI will not be able to view and modify their full application during the verification period in eBRAP.
- Any intramural Partnering PI will not be able to submit their full application package components to eBRAP.

II.D.2.a.i. Pre-Application Components

Pre-application submissions must include the following components (refer to the General Application Instructions, Section III.B, for detailed instructions regarding pre-application submission):

• Letter of Intent (LOI) (one-page limit): Provide a brief description of the research to be conducted. Describe how the research is aligned with at least one FY24 LRP TVA Focus Area identified in Section II.A.1, LRP TVA Focus Areas.

LOIs are used for program planning purposes only (e.g., reviewer recruitment) and will not be reviewed during either the peer or programmatic review. An invitation to submit a full application is NOT provided after LOI submission. Applicants are encouraged to develop pre-application and full application components concurrently and submit a full application AFTER successful submission of the pre-application.

II.D.2.b. Step 2: Full Application Submission

II.D.2.b.i. Full Application Submission Type

Extramural Submissions: Full applications from extramural organizations *must* be submitted through Grants.gov Workspace. Full applications from extramural organizations, including non-DOD federal organizations, received through eBRAP will be withdrawn. Refer to the General Application Instructions, Section IV, for considerations and detailed instructions regarding extramural full application submission.

Intramural Submissions: Intramural DOD organizations may submit full applications through either eBRAP or Grants.gov. There is no preference from the CDMRP for which submission portal is utilized; submission through one portal or the other does not provide the application any advantage during the review process. Intramural DOD organizations that choose to submit through Grants.gov should follow Extramural Submission instructions. Intramural DOD organizations that are unable to submit through Grants.gov should submit through eBRAP. For the remainder of this program announcement, it will be assumed intramural DOD submissions will proceed through eBRAP. Refer to the General Application Instructions, Section V, for considerations and detailed instructions regarding intramural DOD full application submission.

II.D.2.b.ii. Full Application Submission Components

Each application submission must include the completed full application package for this program announcement. See <u>Section II.H.3</u> of this program announcement for a checklist of the required application components.

(a) SF424 Research & Related Application for Federal Assistance Form (Extramural Submissions Only): Refer to the General Application Instructions, Section IV.B, for detailed information.

(b) Attachments:

Each attachment to the full application components must be uploaded as an individual file in the format specified and in accordance with the formatting guidelines listed in the General Application Instructions, Appendix 2.

• Attachment 1: Project Narrative (20-page limit): Upload as "ProjectNarrative.pdf". The page limit of the Project Narrative applies to text and non-text elements (e.g., figures, tables, graphs, photographs, diagrams, chemical structures, drawings) used to describe the project. Inclusion of URLs (uniform resource locators) that provide additional information that expands the Project Narrative and could confer an unfair competitive advantage is prohibited and may result in administrative withdrawal of the application.

Describe the proposed project in detail using the outline below.

- **Background/Rationale:** Briefly describe the ideas and reasoning on which the proposed work is based. Throughout the Project Narrative, clearly convey how the

proposed research, if successful, will have a major impact by transforming the quality of life of lupus patients. Demonstrate logical reasoning and provide a sound scientific rationale for the proposed project as established through a critical review and analysis of published literature. Inclusion of preliminary data is required, and the preliminary data should support the feasibility of the work proposed. If proposing translational research, it is important to describe the studies showing proof of concept and clinical relevance.

- Hypothesis(es) or Objective(s): State the hypothesis(es) to be tested or the objective(s) to be reached.
- **Specific Aims:** Concisely explain the project's specific aims proposed.

Research Strategy:

- Explain how the hypothesis(es) or objective(s), aims, experimental design, methods, statistical analysis plan, and analyses are developed and integrated into the project.
- Explain how the experimental design and methodology are appropriate to address the stated objectives.
- Address how the application acknowledges potential problems and addresses alternative approaches.
- Provide sufficient evidence to support availability of and access to the populations/samples required for the study, and a sufficient plan for acquiring the necessary research resources for the proposed research project (if applicable).
- For applications proposing non-exempt clinical research, describe the strategy for the inclusion of women and minorities appropriate to the objectives of the study, including a description of the composition of the proposed study population in terms of sex/gender, racial, and ethnic group, and an accompanying rationale for the selection of subjects. Studies utilizing human biospecimens or datasets that cannot be linked to a specific individual, gender, ethnicity, or race (typically classified as exempt from Institutional Review Board [IRB] review) are exempt from this requirement.
- If the methodology is new or unusual, provide sufficient details for evaluation.
- Attachment 2: Supporting Documentation: Combine and upload as a single file named "Support.pdf". Start each document on a new page. The Supporting Documentation attachment should not include additional information such as figures, tables, graphs, photographs, diagrams, chemical structures, or drawings. These items should be included in the Project Narrative.

There are no page limits for any of these components unless otherwise noted. Include only those components described below; inclusion of items not requested or viewed as

an extension of the Project Narrative will result in the removal of those items or may result in administrative withdrawal of the application.

- **References Cited:** List the references cited (including URLs, if available) in the Project Narrative using a standard reference format.
- List of Abbreviations, Acronyms, and Symbols: Provide a list of abbreviations, acronyms, and symbols.
- Facilities, Existing Equipment, and Other Resources: Describe the facilities and equipment available for performance of the proposed project and any additional facilities or equipment proposed for acquisition at no cost to the award. Indicate whether government-furnished facilities or equipment are proposed for use. If so, reference should be made to the original or present government award under which the facilities or equipment items are now accountable. There is no form for this information.
- Publications and/or Patents: Include a list of relevant publication URLs and/or patent abstracts. If articles are not publicly available, then copies of up to five published manuscripts may be included in Attachment 2. Extra items will not be reviewed.
- Letters of Organizational Support: Provide a letter (or letters, if applicable) signed by the Department Chair or appropriate organization official, confirming the laboratory space, equipment, and other resources available for the project. Letters of support not requested in the program announcement, such as those from members of Congress, do not impact application review or funding decisions.
- Letters of Collaboration (if applicable): Provide a signed letter from each collaborating individual and/or organization demonstrating that the PI has the support and resources necessary for the proposed work. If an investigator at an intramural DOD organization is named as a collaborator on a full application submitted through an extramural organization, the application must include a letter from the collaborator's Commander or Commanding Officer at the intramural DOD organization authorizing the collaborator's involvement.
- Inclusion Enrollment Plan (only required if clinical research and/or a clinical trial is proposed): Provide an anticipated enrollment table(s) for the inclusion of women and minorities using the Public Health Service (PHS) Inclusion Enrollment Report, a three-page fillable PDF form, that can be downloaded from eBRAP at https://ebrap.org/eBRAP/public/Program.htm. The enrollment table(s) should be appropriate to the objectives of the study with the proposed enrollment distributed on the basis of sex/gender, race, and ethnicity. Studies utilizing human biospecimens or datasets that cannot be linked to a specific individual, gender, ethnicity, or race (typically classified as exempt from IRB review) are exempt from this requirement. For phase 3 clinical trials, use the form to describe plans for the valid analysis of

- group differences on the basis of sex/gender, race, and/or ethnicity as appropriate for the scientific goals of the study.
- **Intellectual Property:** Information can be found in the 2 CFR 200.315, "Intangible Property."
 - Intellectual and Material Property Plan (*if applicable*): Provide a plan for resolving intellectual and material property issues among participating organizations.
 - Commercialization Strategy (if applicable): Describe the commercialization plan. The plan should include intellectual property, market size, financial analysis, strengths and weaknesses, barriers to the market, competitors, and management team. Discuss the significance of this development effort, when it can be anticipated, and the potential commercial use for the technology being developed.
- DOD Data Management Plan (two-page limit is recommended): Describe the data management plan in accordance with Section 3.c, Enclosure 3, <u>DoD Instructions</u> 3200.12. Do not duplicate the Data and Research Resources Sharing Plan. Refer to General Application Instructions, Section IV.B, Attachments Form, Attachment: Supporting Documentation, for detailed information regarding Data Management Plan content.
- Data and Research Resources Sharing Plan: Describe the type of data or research resource to be made publicly available as a result of the proposed work. Describe how data and resources generated during the performance of the project will be shared with the research community. Include the name of the repository(ies) where scientific data and resources arising from the project will be archived, if applicable. If a public repository will not be used for data or resource sharing, provide justification. Provide a milestone plan for data/results dissemination including when data and resources will be made available to other users, including dissemination activities with a particular focus on feeding back the data to affected communities and/or research participants. Refer to the CDMRP Policy on Data & Resource Sharing located on the eBRAP "Funding Opportunities & Forms" web page https://ebrap.org/eBRAP/public/Program.htm for more information about the CDMRP's expectations for making data and research resources publicly available.
- Use of DOD Resources (if applicable): Provide a letter of support signed by the lowest-ranking person with approval authority confirming access to active-duty military populations and/or DOD resources or databases.
- Use of U.S. Department of Veterans Affairs (VA) Resources (if applicable): Provide a letter of support signed by the VA Facility Director(s) or individual designated by the VA Facility Director(s), such as the Associate Chief of Staff for Research and Development (ACOS/R&D) or Clinical Service Chief, confirming access to VA patients, resources, and/or VA research space. If the VA-affiliated non-

profit corporation is not identified as the applicant organization for administering the funds, include a letter from the VA ACOS/R&D confirming this arrangement and identifying the institution that will administer the funds associated with the proposed research.

Attachment 3: Technical Abstract (one-page limit): Upload as "TechAbs.pdf". The technical abstract is used by all reviewers. Abstracts of all funded research projects will be posted publicly. Use only characters available on a standard QWERTY keyboard. Spell out all Greek letters, other non-English letters, and symbols. Graphics are not allowed.

Technical abstracts should be written using the outline below. Clarity and completeness within the space limits are highly important.

- **Background:** Present the scientific rationale behind the proposed research project.
- **Hypothesis/Objective(s):** State the hypothesis to be tested and/or objective(s) to be reached.
- Specific Aims: State the specific aims of the study.
- **Study Design:** Describe the study design, including methodology, statistical analysis plan, and appropriate controls.
- Impact: Summarize the near-term impact of the proposed research, if successful, on the quality of life of lupus patients of all ages and those with disproportionate health burdens.
- Attachment 4: Lay Abstract (one-page limit): Upload as "LayAbs.pdf". The lay abstract is used by all reviewers, and addresses issues of particular interest to the affected community. Abstracts of all funded research projects will be posted publicly. Use only characters available on a standard QWERTY keyboard. Spell out all Greek letters, other non-English letters, and symbols. Graphics are not allowed. Do not duplicate the technical abstract.

Lay abstracts should address the points outlined below *in a manner that will be readily understood by readers without a background in science or medicine*. Avoid the use of scientific jargon, acronyms, and abbreviations.

- Summarize the objectives and rationale for the proposed research.
- Describe the relationship of the proposed work to at least one of the specific LRP TVA Focus Areas of this program announcement.
- Describe the ultimate applicability of the research.
 - What types of patients will it help and how will it help them?

- What are the potential clinical applications, benefits, and risks?
- What is the projected time it may take to achieve a patient-related outcome?
- What is the likely near-term impact of the proposed research, if successful, on the quality of life of lupus patients of all ages and those with disproportionate health burdens?
- Attachment 5: Statement of Work (three-page limit): Upload as "SOW.pdf". Refer to the eBRAP "Funding Opportunities & Forms" web page (https://ebrap.org/eBRAP/public/Program.htm) for the suggested SOW format and recommended strategies for assembling the SOW.

For the LRP TVA, refer to either the "Example: Assembling a Clinical Research and/or Clinical Trial Statement of Work" or "Example: Assembling a Generic Statement of Work," whichever example is most appropriate for the proposed effort, for guidance on preparing the SOW. Use the "Suggested SOW Format" to develop the SOW for the proposed research. Submit the SOW as a PDF file.

- Attachment 6: Impact Statement (two-page limit): Upload as "Impact.pdf".
 - Clearly describe, in a manner readily understood by readers without a background in science or medicine, to what extent the proposed research will have a major near-term impact on the quality of life of lupus patients.
 - Describe how the proposed research moves beyond an incremental advancement.
- Attachment 7: Personnel Statement (two-page limit): Upload as "Personnel.pdf".
 - Explain how the research team's background is appropriate with respect to its ability to perform the proposed work, including evidence of sufficient clinical and/or statistical expertise (if applicable).
 - Explain how the levels of effort are appropriate for successful completion of the proposed work.
 - Provide the name of the consumer advocate and their affiliation with a lupus advocacy organization(s). Describe the integral roles that the consumer advocate will play in the planning, design, implementation, and evaluation of the research.
 - In addition, if applying under the Partnering PI Option:
 - Describe how the partners bring different strengths to the application.
 - Describe how their combined expertise in the collaboration will better address the research question.

- Explain why the work should be done together rather than through separate efforts.
- Attachment 8: Clinical Strategy Statement, *if applicable* (no page limit): Upload as "Clinical.pdf". If funds for a clinical trial are requested, this attachment is required.
 - Describe the rationale for the proposed clinical trial. Provide a description of the intervention and the endpoints to be measured. Describe the type of clinical trial to be performed (e.g., prospective, randomized, controlled) and outline the proposed methodology in sufficient detail to show a clear course of action. Describe potential challenges and alternative strategies where appropriate.
 - If the proposed clinical trial was initiated using other funding prior to this application, explain the history and background of the clinical trial and declare the source(s) of prior funding. Specifically, identify the portions of the study that would be supported with funds from this LRP TVA award.
 - Provide detailed plans for initiating the clinical study within the first year, including U.S. Food and Drug Administration (FDA) Investigational New Drug/Investigational Device Exemption (IND/IDE) application submission plans within 60 days of the award, if applicable. Describe how data will be reported and how it will be assured that the documentation will support a regulatory filing with the FDA, if applicable.
 - Indicate the access to the study population, recruitment plans, and inclusion/exclusion criteria. Describe the strategy for the inclusion of women and minorities appropriate to the objectives of the study, including a description of the composition of the proposed study population in terms of sex/gender, racial, and ethnic group, and an accompanying rationale for the selection of subjects.
 - Statistical Plan and Data Analysis: Describe the statistical model and data analysis plan with respect to the study objectives. Specify the approximate number of human subjects to be enrolled. Include a power analysis to demonstrate that the sample size is appropriate to meet the objectives of the study and all proposed correlative studies. If a subpopulation of a recruited sample population will be used for analysis, complete a statistical analysis to ensure appropriate power can be achieved within the subpopulation study. Ensure sufficient information is provided to allow thorough evaluation of all statistical calculations during review of the application.
- Attachment 9: Representations (Extramural Submissions Only): Upload as "RequiredReps.pdf". All extramural applicants must complete and submit the Required Representations template available on eBRAP (https://ebrap.org/eBRAP/ public/Program.htm). For more information, see the General Application Instructions, Appendix 8, Section B, Representations.
- Attachment 10: Suggested Intragovernmental/Intramural Budget Form (if applicable): Upload as "IGBudget.pdf". If an intramural DOD organization will be a

collaborator in performance of the project, complete a separate budget using the "Suggested Intragovernmental/Intramural Budget Form," available for download on the eBRAP "Funding Opportunities & Forms" web page (https://ebrap.org/eBRAP/public/Program.htm). The budget should cover the entire period of performance for each intramural DOD site and include a budget justification as instructed. The *total* costs per year for each subaward (direct and indirect costs) should be included on the Grants.gov Research & Related Budget Form under Subaward Costs. Refer to the General Application Instructions, Section V.A.(e), for additional information and considerations.

- (c) Research & Related Personal Data: For extramural submissions, refer to the General Application Instructions, Section IV.B.(c), and for intramural submissions, refer to the General Application Instructions, Section V.A.(c), for detailed instructions.
- (d) Research & Related Senior/Key Person Profile (Expanded): For extramural submissions, refer to the General Application Instructions, Section IV.B.(d), and for intramural submissions, refer to the General Application Instructions, Section V.A.(d), for detailed instructions.
 - PI Biographical Sketch (five-page limit): Upload as "Biosketch_LastName.pdf".
 - **PI Previous/Current/Pending Support (no page limit):** Upload as "Support_LastName.pdf".
 - **Key Personnel Biographical Sketches (five-page limit each):** Upload as "Biosketch_LastName.pdf".
 - **Key Personnel Previous/Current/Pending Support (no page limit):** Upload as "Support LastName.pdf".
- (e) Research & Related Budget: For extramural submissions, refer to the General Application Instructions, Section IV.B.(e), and for intramural submissions, refer to the General Application Instructions, Section V.A.(e), for detailed instructions.
 - Budget Justification (no page limit): For extramural submissions, refer to the General Application Instructions, Section IV.B.(e), Section L, for instructions. For intramural submissions, refer to General Application Instructions, Section V.A.(e), Budget Justification Instructions.
- (f) Project/Performance Site Location(s) Form: For extramural submissions, refer to the General Application Instructions, Section IV.B.(f), and for intramural submissions, refer to the General Application Instructions, Section V.A.(f), for detailed instructions.
- (g) Research & Related Subaward Budget Attachment(s) Form (if applicable, Extramural Submissions Only): Refer to the General Application Instructions, Section IV.B.(g), for detailed instructions.

- o **Extramural Subaward:** Complete the Research & Related Subaward Budget Form and upload through Grants.gov.
- o Intramural DOD Subaward: Complete a separate "Suggested Intragovernmental/Intramural Budget Form" for each intramural DOD subaward and upload as a single document titled IGBudget.pdf to Grants.gov as Attachment 10.

II.D.2.b.iii. Full Application Submission Components for the Partnering PI

The application submission process for each Partnering PI uses an abbreviated full application package. Refer to the equivalent attachment above for details specific to each of the following application components.

(a) SF424 Research & Related Application for Federal Assistance Form (Extramural Submissions Only): Refer to the General Application Instructions, Section IV.B.(a), for detailed information.

(b) Attachments:

- Attachment 5: Statement of Work (three-page limit): Upload as "SOW.pdf". Each PI must submit an identical copy of a jointly created SOW.
- Attachment 9: Representations (Extramural submissions only): Upload as "RequiredReps.pdf".
- Attachment 10: Suggested Intragovernmental/Intramural Budget Form: Upload as "IGBudget.pdf".
- (c) Research & Related Personal Data: For extramural submissions, refer to the General Application Instructions, Section IV.B.(c), and for intramural submissions, refer to the General Application Instructions, Section V.A.(c), for detailed information.
- (d) Research & Related Senior/Key Person Profile (Expanded): For extramural submissions, refer to the General Application Instructions, Section IV.B.(d), and for intramural submissions, refer to the General Application Instructions, Section V.A.(d), for detailed information.
 - o PI Biographical Sketch (five-page limit): Upload as "Biosketch LastName.pdf".
 - PI Previous/Current/Pending Support (no page limit): Upload as "Support_LastName.pdf".
 - **Key Personnel Biographical Sketches (five-page limit each):** Upload as "Biosketch_LastName.pdf".
 - **Key Personnel Previous/Current/Pending Support (no page limit):** Upload as "Support_LastName.pdf".

- (e) Research & Related Budget: For extramural submissions, refer to the General Application Instructions, Section IV.B.(e), and for intramural submissions, refer to the General Application Instructions, Section V.A.(e), for detailed information.
 - Budget Justification (no page limit): Upload as "BudgetJustification.pdf".

The initiating and Partnering PI(s) must each submit a budget and justification specific to their own portion of the efforts as part of their separate Grants.gov or eBRAP application packages. The Research & Related Budget for the Partnering PI(s) should not include budget information for the Initiating PI, even if they are located within the same organization. Refer to Section II.D.5, Funding Restrictions, for detailed information.

- **(f) Project/Performance Site Location(s) Form:** For extramural submissions, refer to the General Application Instructions, Section IV.B.(f), and for intramural submissions, refer to General Application Instructions, Section V.A.(f), for detailed information.
- (g) Research & Related Subaward Budget Attachment(s) Form (if applicable, Extramural Submissions Only): Refer to the General Application Instructions, Section IV.B.(g), for detailed information.
 - Extramural Subaward: Complete the Research & Related Subaward Budget Form through Grants.gov.
 - o **Intramural DOD Subaward:** Complete the "Suggested Intragovernmental/Intramural Budget Form" for each intramural DOD subaward and upload as a single document titled "IGBudget.pdf to Grants.gov as Attachment 10.

II.D.2.c. Applicant Verification of Full Application Submission in eBRAP

Independent of submission type, once the full application is submitted it is transmitted to and processed in eBRAP. At this stage, the PI and organizational representatives will receive an email from eBRAP instructing them to log into eBRAP to review, modify, and verify the full application submission. Verification is strongly recommended but not required. eBRAP will validate full application files against the specific program announcement requirements, and discrepancies will be noted in the "Full Application Files" tab in eBRAP. However, eBRAP does not confirm the accuracy of file content. It is the applicant's responsibility to review all application components and ensure proper ordering as specified in the program announcement. The Project Narrative and Research & Related Budget Form cannot be changed after the application submission deadline. If either the Project Narrative or the budget fails eBRAP validation or needs to be modified, an updated full application package must be submitted prior to the full application submission deadline. Other application components, including subaward budget(s) and subaward budget justification(s), may be changed until the end of the application verification period. The full application cannot be modified once the application verification period ends.

II.D.3. Unique Entity Identifier (UEI) and System for Award Management (SAM)

The applicant organization must be registered as an entity in SAM (https://www.sam.gov/content/home) and receive confirmation of an "Active" status before submitting an application through Grants.gov. Organizations must include the UEI generated by SAM in applications to this funding opportunity.

II.D.4. Submission Dates and Times

The pre-application and application submission process should be started early to avoid missing deadlines. There are no grace periods. Failure to meet either of these deadlines will result in submission rejection.

All submission dates and times are indicated in Section I, Overview of the Funding Opportunity.

II.D.5. Funding Restrictions

The maximum period of performance is 4 years.

The application's total costs budgeted for the entire period of performance should not exceed **\$2M**. If indirect cost rates have been negotiated, indirect costs are to be budgeted in accordance with the organization's negotiated rate. Collaborating organizations should budget associated indirect costs in accordance with each organization's negotiated rate.

All direct and indirect costs of any subaward or contract must be included in the direct costs of the primary award.

The applicant may request the entire maximum funding amount for a project that may have a period of performance less than the maximum 4 years.

Partnering PI Option:

The combined total costs budgeted for the entire period of performance in the applications of the Initiating PI and the Partnering PI(s) should not exceed \$2M. If indirect cost rates have been negotiated, indirect costs are to be budgeted in accordance with the organization's negotiated rate. Collaborating organizations should budget associated indirect costs in accordance with each organization's negotiated rate.

A separate award will be made to each PI's organization.

The PIs are expected to be partners in the research, and direct cost funding should be divided accordingly unless otherwise warranted and clearly justified.

For this award mechanism, direct costs may be requested for (not all-inclusive):

• Travel in support of multi-institutional collaborations

• Costs for one investigator to travel to one scientific/technical meeting per year. The intent of travel to scientific/technical meetings should be to present project information or disseminate project results from the FY24 LRP TVA.

For this award mechanism, direct costs must not be requested for:

- Costs for travel to scientific/technical meeting(s) beyond the limits stated above.
- Animal research

II.D.6. Other Submission Requirements

Refer to the General Application Instructions, Appendix 2, for detailed formatting guidelines.

II.E. Application Review Information

II.E.1. Criteria

II.E.1.a. Peer Review

To determine technical merit, all applications will be individually evaluated according to the following **scored criteria**, which are of equal importance:

Impact

- o To what extent the proposed research will have a major near-term impact on the quality of life of lupus patients.
- To what extent the proposed research moves beyond an incremental advancement.
- How well the proposed research addresses at least one of the FY24 LRP TVA Focus Areas.

• Research Strategy

- To what degree the proposed research is supported by a sound scientific rationale as demonstrated by a critical review and analysis of published literature and preliminary data.
- How well the hypotheses or objectives, aims, experimental design, methods, statistical analysis plan, and analyses are developed and integrated into the project.
- To what degree the experimental design and methodology are appropriate to address the stated objectives.
- How well the application acknowledges potential problems and addresses alternative approaches.

- Whether the application has provided sufficient evidence to support availability of and access to the populations/samples required for the study, and whether the plan for acquiring the necessary research resources is sufficient for the proposed research project (if applicable).
- o For applications proposing non-exempt clinical research, the extent to which the strategy for the inclusion of women and minorities and distribution of proposed enrollment are appropriate for the proposed research. Studies utilizing human biospecimens or datasets that cannot be linked to a specific individual, gender, ethnicity, or race (typically classified as exempt from IRB review) are exempt from this requirement.

• Clinical Strategy (if proposing a Clinical Trial)

- o How well the clinical trial portion of the application is designed with appropriate study variables, controls, endpoints, and data analysis plan.
- How well the application demonstrates access to the study population, and ability to achieve recruitment goals.
- Whether the strategy for the inclusion of women and minorities and the distribution of proposed enrollment are appropriate for the proposed research, including a description of the composition of the proposed study population in terms of sex/gender, racial, and ethnic group, and an accompanying rationale for the selection of subjects.
- Whether an anticipated enrollment table(s) with the proposed enrollment distributed on the basis of sex/gender, race, and ethnicity is included.
- For phase 3 clinical trials, whether the application describes plans for the valid analysis
 of group differences on the basis of sex/gender, race, and/or ethnicity that are appropriate
 for the scientific goals of the study.
- Whether the type of clinical trial (e.g., prospective, randomized, controlled) proposed is appropriate to meet the project's objectives.
- Whether the plan for applying for and obtaining the IND/IDE status (or other FDA or other international regulatory agency approvals) is appropriate, if applicable.

• Personnel

- To what degree the research team's background is appropriate with respect to its ability to perform the proposed work, including whether there is evidence of sufficient clinical and/or statistical expertise (if applicable).
- Whether the levels of effort are appropriate for successful completion of the proposed work.
- How well the application describes the integral roles that the consumer advocate will play in the planning, design, implementation, and evaluation of the research.

In addition, if applying under the Partnering PI Option:

- How well the partners bring different strengths to the applications.
- How well their combined expertise in the collaboration will better address the research question.
- How well the application explains why the work should be done together rather than through separate efforts.

Budget

• Whether the budget is appropriate for the proposed research.

In addition, the following criteria will also contribute to the overall evaluation of the application, but will not be individually scored and are therefore termed **unscored criteria**:

• Environment

- To what extent the scientific environment is appropriate for the proposed research project.
- How well the research requirements are supported by the availability of and accessibility to facilities and resources.
- To what extent the quality and level of institutional support are appropriate for the proposed research project.

• Application Presentation

o To what extent the writing, clarity, and presentation of the application components influence the review.

II.E.1.b. Programmatic Review

To make funding recommendations and select the application(s) that, individually or collectively, will best achieve the program objectives, the following criteria are used by programmatic reviewers:

- Ratings and evaluations of the peer reviewers
- Relevance to the priorities of the Defense Health Program and FY24 LRP, as evidenced by the following:
 - Adherence to the intent of the funding opportunity
 - Program portfolio composition
 - Relative near-term impact

II.E.2. Application Review and Selection Process

All applications are evaluated by scientists, clinicians, and consumers in a two-tier review process. The first tier is **peer review**, the evaluation of applications against established criteria to determine technical merit, where each application is assessed for its own merit, independent of other applications. The second tier is **programmatic review**, a comparison-based process in which applications with high scientific and technical merit are further evaluated for programmatic relevance. Final recommendations for funding are made to the Commanding General, USAMRDC. *The highest-scoring applications from the first tier of review are not automatically recommended for funding. Funding recommendations depend on various factors as described in <u>Section II.E.1.b</u>, <u>Programmatic Review</u>. Additional information about the two-tier process used by the CDMRP can be found at https://cdmrp.health.mil/about/2tierRevProcess.*

All CDMRP review processes are conducted confidentially to maintain the integrity of the merit-based selection process. Panel members sign a statement declaring that application and evaluation information will not be disclosed outside the review panel. Violations of confidentiality can result in the dissolution of a panel(s) and other corrective actions. In addition, personnel at the applicant or collaborating organizations are prohibited from contacting persons involved in the review and approval process to gain protected evaluation information or to influence the evaluation process. Violations of these prohibitions will result in the administrative withdrawal of the organization's application. Violations by panel members or applicants that compromise the confidentiality of the review and approval process may also result in suspension or debarment from federal awards. Furthermore, the unauthorized disclosure of confidential information of one party to a third party is a crime in accordance with 18 USC 1905.

II.E.3. Integrity and Performance Information

Prior to making an assistance agreement award where the federal share is expected to exceed the simplified acquisition threshold, as defined in 2 CFR 200.1, over the period of performance, the federal awarding agency is required to review and consider any information about the applicant that is available in SAM.

An applicant organization may review SAM and submit comments on any information currently available about the organization that a federal awarding agency previously entered. The federal awarding agency will consider any comments by the applicant, in addition to other information in the designated integrity and performance system, in making a judgment about the applicant's integrity, business ethics, and record of performance under federal awards when determining a recipient's qualification prior to award, according to the qualification standards of the Department of Defense Grant and Agreement Regulations (DoDGARs), Section 22.415.

II.F. Federal Award Administration Information

II.F.1. Federal Award Notices

Each applicant organization and PI will receive email notification when the funding recommendations are posted to eBRAP. At this time, each PI will receive a peer review summary statement on the strengths and weaknesses of the application and an information paper describing the funding recommendation and review process for the LRP award mechanisms. The information papers and a list of organizations and PIs recommended for funding are also posted on the program's page within the CDMRP website.

If an application is recommended for funding, after the email notification is posted to eBRAP, a government representative will contact the person authorized to negotiate on behalf of the recipient organization.

Only an appointed USAMRAA Grants Officer may obligate the government to the expenditure of funds to an extramural organization. No commitment on the part of the government should be inferred from discussions with any other individual. The award document signed by the Grants Officer is the official authorizing document (i.e., assistance agreement).

Intra-DOD obligations of funding will be made according to the terms of a negotiated Inter-Agency Agreement and managed by a CDMRP Science Officer.

Funding obligated to *intragovernmental and intramural DOD organizations* will be sent through the Military Interdepartmental Purchase Request (MIPR), Funding Authorization Document (FAD), or Direct Charge Work Breakdown Structure processes. Transfer of funds is contingent upon appropriate safety and administrative approvals. Intragovernmental and intramural DOD investigators and collaborators must coordinate receipt and commitment of funds through their respective Resource Manager/Task Area Manager/Comptroller or equivalent Business Official.

An organization may, at its own risk and without the government's prior approval, incur obligations and expenditures to cover costs up to 90 days before the beginning date of the initial budget period of a new award. For extramural submissions, refer to the General Application Instructions, Section IV.B.(e), Pre-Award Costs section, and for intramural submissions, refer to the General Application Instructions, Section V.A.(e), Pre-Award Costs section, for additional information about pre-award costs.

If there are technical reporting requirement delinquencies for any existing CDMRP-issued awards at the applicant organization, no new awards will be issued to the applicant organization until all delinquent reports have been submitted.

II.F.2. PI Changes and Award Transfers

The organizational transfer of an award supporting a clinical trial is strongly discouraged and, in most cases, will not be allowed. Approval of a transfer request will be on a case-by-case basis at the discretion of the Grants Officer.

An organizational transfer of an award supporting the Initiating PI or Partnering PI(s) is discouraged and will be evaluated on a case-by-case basis.

An organizational transfer of an award will not be allowed in the last year of the (original) period of performance or any extension thereof.

Refer to the General Application Instructions, Appendix 7, Section F, for general information on organization or PI changes.

II.F.3. Administrative and National Policy Requirements

Applicable requirements in the DoDGARs found in 32 CFR, Chapter I, Subchapter C, and 2 CFR, Chapter XI, apply to grants resulting from this program announcement.

Refer to the General Application Instructions, Appendix 7, for general information regarding administrative requirements.

Refer to the General Application Instructions, Appendix 8, for general information regarding national policy requirements.

Refer to full text of the latest <u>DoD R&D Terms and Conditions</u> and the <u>USAMRAA Research Terms and Conditions</u>: <u>Addendum to the DoD R&D Terms and Conditions</u> for further information.

Applications recommended for funding that involve animals, human data, human specimens, human subjects, or human cadavers must be reviewed for compliance with federal and DOD animal and/or human subjects protection requirements and approved by the USAMRDC Office of Human and Animal Research Oversight, prior to implementation. This administrative review requirement is in addition to the local Institutional Animal Care and Use Committee, IRB, or Ethics Committee review. Refer to the General Application Instructions, Appendix 6, for additional information.

Funded trials are required to post a copy of the IRB-approved informed consent form used to enroll subjects on a publicly available federal website in accordance with federal requirements described in the 32 CFR 219. Funded studies are required to register the study in the National Institutes of Health clinical trial registry, www.clinicaltrials.gov, prior to initiation of the study. Refer to the General Application Instructions, Appendix 6, Section F, for further details.

II.F.4. Reporting

Annual technical progress reports as well as a final technical progress report will be required. Annual and final technical reports must be prepared in accordance with the Research Performance Progress Report.

The Award Terms and Conditions will specify whether additional and/or more frequent reporting is required.

Inclusion Enrollment Reporting (only required for clinical research studies and pilot clinical trials): Enrollment reporting on the basis of sex/gender, race, and/or ethnicity using the PHS Inclusion Enrollment Report will be required with each annual and final progress report. The PHS Inclusion Enrollment Report is available on the "Funding Opportunities & Forms" web page (https://ebrap.org/eBRAP/public/Program.htm) in eBRAP.

Awards resulting from this program announcement may entail additional reporting requirements related to recipient integrity and performance matters. Recipient organizations that have federal contract, grant, and cooperative agreement awards with a cumulative total value greater than \$10M are required to provide information to SAM about certain civil, criminal, and administrative proceedings that reached final disposition within the most recent 5-year period and that were connected with performance of a federal award. These recipients are required to disclose, semiannually, information about criminal, civil, and administrative proceedings as specified in the applicable Representations (see General Application Instructions, Appendix 8, Section B).

II.G. Federal Awarding Agency Contacts

II.G.1. eBRAP Help Desk

Questions regarding program announcement content or submission requirements as well as technical assistance related to pre-application or intramural application submission:

Phone: 301-682-5507

Email: help@eBRAP.org

II.G.2. Grants.gov Contact Center

Questions regarding Grants.gov registration and Workspace:

Phone: 800-518-4726; International 1-606-545-5035

Email: support@grants.gov

II.H. Other Information

II.H.1. Program Announcement and General Application Instructions Versions

Questions related to this program announcement should refer to the program name, the program announcement name, and the program announcement version code 901a. The program announcement numeric version code will match the General Application Instructions version code 901.

II.H.2. Administrative Actions

After receipt of full applications, the following administrative actions may occur.

II.H.2.a. Rejection

The following will result in administrative rejection of the full application:

- LOI was not submitted.
- Project Narrative exceeds page limit.
- Project Narrative is missing.
- Budget is missing.
- More than one application for the LRP TVA is received from the same investigator as either the Initiating or Partnering PI. Only the first application received from the same investigator will be accepted; additional applications will be administratively rejected.

II.H.2.b. Modification

- Pages exceeding the specific limits will be removed prior to review for all documents other than the Project Narrative.
- Documents not requested will be removed.

II.H.2.c. Withdrawal

The following may result in administrative withdrawal of the full application:

- An FY24 LRP Programmatic Panel member is named as being involved in the research proposed or is found to have assisted in the pre-application or application processes including, but not limited to, concept design, application development, budget preparation, and the development of any supporting documentation, including letters of support/recommendation.

 A list of the FY24 LRP Programmatic Panel members can be found at https://cdmrp.health.mil/LRP/panels/panels24.
- The application fails to conform to this program announcement description.
- Inclusion of URLs, with the exception of links in References Cited and Publication and/or Patent Abstract sections.
- Applications that include names of personnel from either of the CDMRP peer or
 programmatic review companies. For FY24, the identities of the peer review contractor and
 the programmatic review contractor may be found at the CDMRP website
 (https://cdmrp.health.mil/about/2tierRevProcess).
- Personnel from applicant or collaborating organizations are found to have contacted persons
 involved in the review or approval process to gain protected evaluation information or to
 influence the evaluation process.

- Applications from extramural organizations, including non-DOD federal agencies, received through eBRAP.
- Applications submitted by a federal government organization (including an intramural DOD organization) may be withdrawn if (a) the organization cannot accept and execute the entirety of the requested budget in current fiscal year (FY24) funds; and/or (b) the federal government organization cannot coordinate the use of contractual, assistance, or other appropriate agreements to provide funds to collaborators.
- Application includes research data that are classified and/or proposes research that may produce classified outcomes, or outcomes deemed sensitive to national security concerns.
- Submission of the same research project to different funding opportunities within the same program and fiscal year.
- The Initiating or Partnering PI(s) (if applicable) does not meet the eligibility criteria.
- Preliminary data are not included.
- *Partnering PI Option:* Failure to submit all associated (Initiating and each Partnering PI) applications by the deadline.
- The application does not address at least one of the FY24 LRP TVA Focus Areas identified in Section II.A.1, LRP TVA Focus Areas.
- Animal research is proposed.
- Application fails to include at least one consumer advocate on the research team meeting the criteria required by this program announcement.

II.H.2.d. Withhold

Applications that appear to involve research misconduct will be administratively withheld from further consideration pending organizational investigation. The organization will be required to provide the findings of the investigation to the USAMRAA Grants Officer for a determination of the final disposition of the application.

II.H.2.e. Other Funding Opportunities

The LRP is committed to leveraging efforts with other funding organizations to accelerate progress in lupus research. At the time of funding notifications, the LRP will inform highly rated, unfunded applicants about opportunities to provide their LRP applications and peer review summary statements to non-governmental funders, who will determine the specific criteria for funding consideration.

II.H.3. Full Application Submission Checklist

	Uplo	Uploaded	
Full Application Components		Partnering PI	
SF424 Research & Related Application for Federal Assistance (Extramural submissions only)			
Summary (Tab 1) and Application Contacts (Tab 2) (Intramural submissions only)			
Attachments			
Project Narrative - Attachment 1, upload as "ProjectNarrative.pdf"			
Supporting Documentation – Attachment 2, upload as "Support.pdf	"		
Technical Abstract – Attachment 3, upload as "TechAbs.pdf"			
Lay Abstract – Attachment 4, upload as "LayAbs.pdf"			
Statement of Work – Attachment 5, upload as "SOW.pdf"			
Impact Statement – Attachment 6, upload as "Impact.pdf"			
Personnel – Attachment 7, upload as "Personnel.pdf"			
Clinical Strategy Statement – Attachment 8, upload as "Clinical.pdf"			
Representations (Extramural submissions only) – Attachment 9, upload as "RequiredReps.pdf"			
Suggested Intragovernmental Budget Form (if applicable) – Attachment 10, upload as "IGBudget.pdf"			
Research & Related Personal Data			
Research & Related Senior/Key Person Profile (Expanded)			
Attach PI Biographical Sketch (Biosketch_LastName.pdf)			
Attach PI Previous/Current/Pending Support (Support_LastName.pdf)			
Attach Biographical Sketch (Biosketch_LastName.pdf) for each senior/key person			
Attach Previous/Current/Pending (Support_LastName.pdf) for each senior/key person			
Research & Related Budget (Extramural submissions only) Include budget justification			
Budget (Intramural submissions only) Include budget justification			
Project/Performance Site Location(s) Form			

Research & Related Subaward Budget Attachment(s) Form (if applicable)	

APPENDIX 1: ACRONYM LIST

ACOS/R&D Associate Chief of Staff for Research and Development CDMRP Congressionally Directed Medical Research Programs

CFR Code of Federal Regulations

DOD Department of Defense

DoDGARs Department of Defense Grant and Agreement Regulations

eBRAP Electronic Biomedical Research Application Portal

ET Eastern Time

FAD Funding Authorization Document

FY Fiscal Year

IRB Institutional Review Board

LOI Letter of Intent

LRP Lupus Research Program

M Million

MIPR Military Interdepartmental Purchase Request

PDF Portable Document Format

PHS Public Health Service
PI Principal Investigator

SAM System for Award Management

SOW Statement of Work

TVA Transformative Vision Award

UEI Unique Entity Identifier
URL Uniform Resource Locator

USAMRAA U.S. Army Medical Research Acquisition Activity

USAMRDC U.S. Army Medical Research and Development Command

USC United States Code

VA U.S. Department of Veterans Affairs